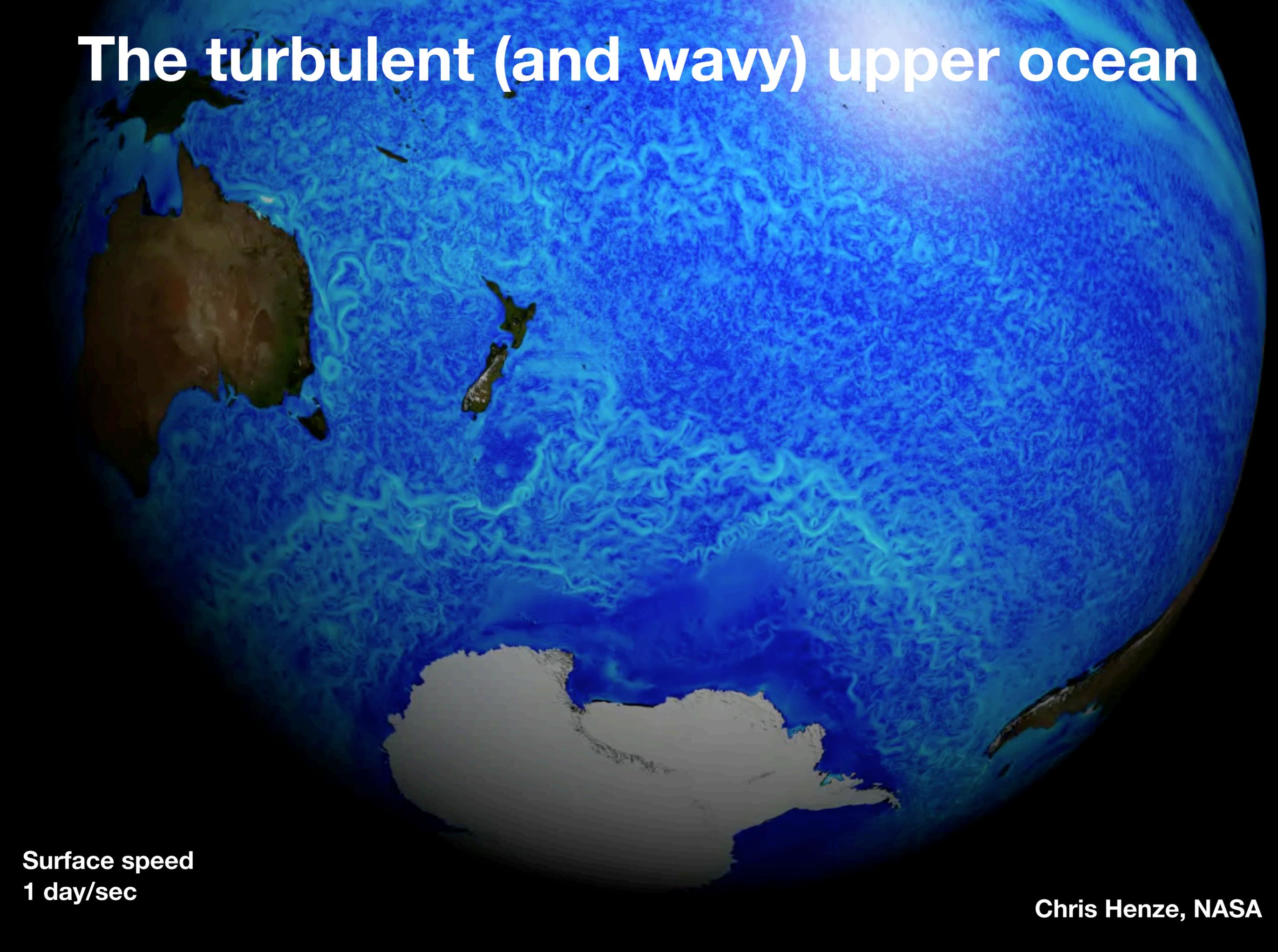


Interaction of near-inertial waves with geostrophic turbulence (in the ocean)

**W.R. Young, M. Ben Jelloul, C.B. Rocha,
G.L. Wagner, O. Asselin**
Scripps institution of Oceanography

Congratulations Triantaphyllos!

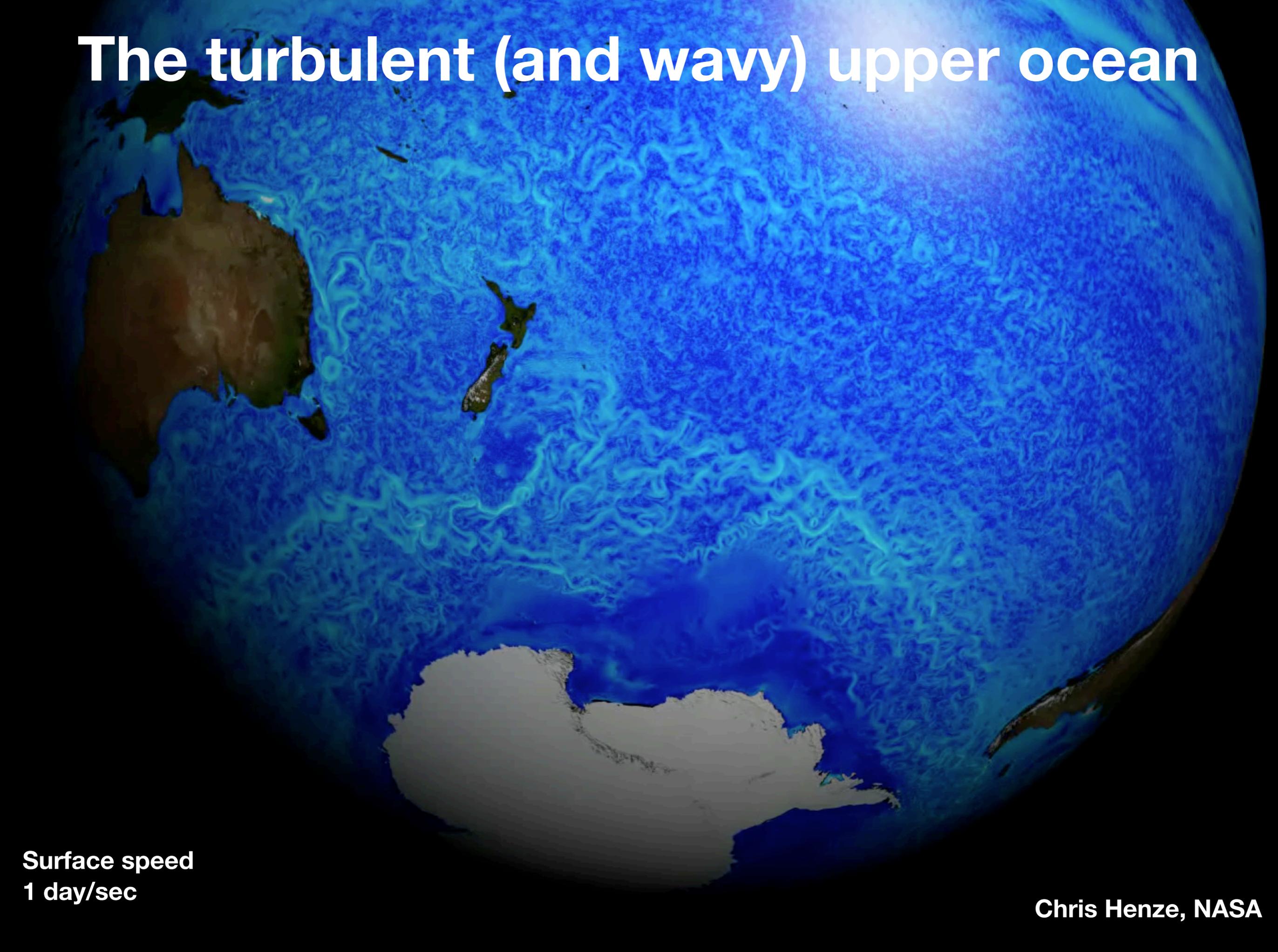
The turbulent (and wavy) upper ocean



Surface speed
1 day/sec

Chris Henze, NASA

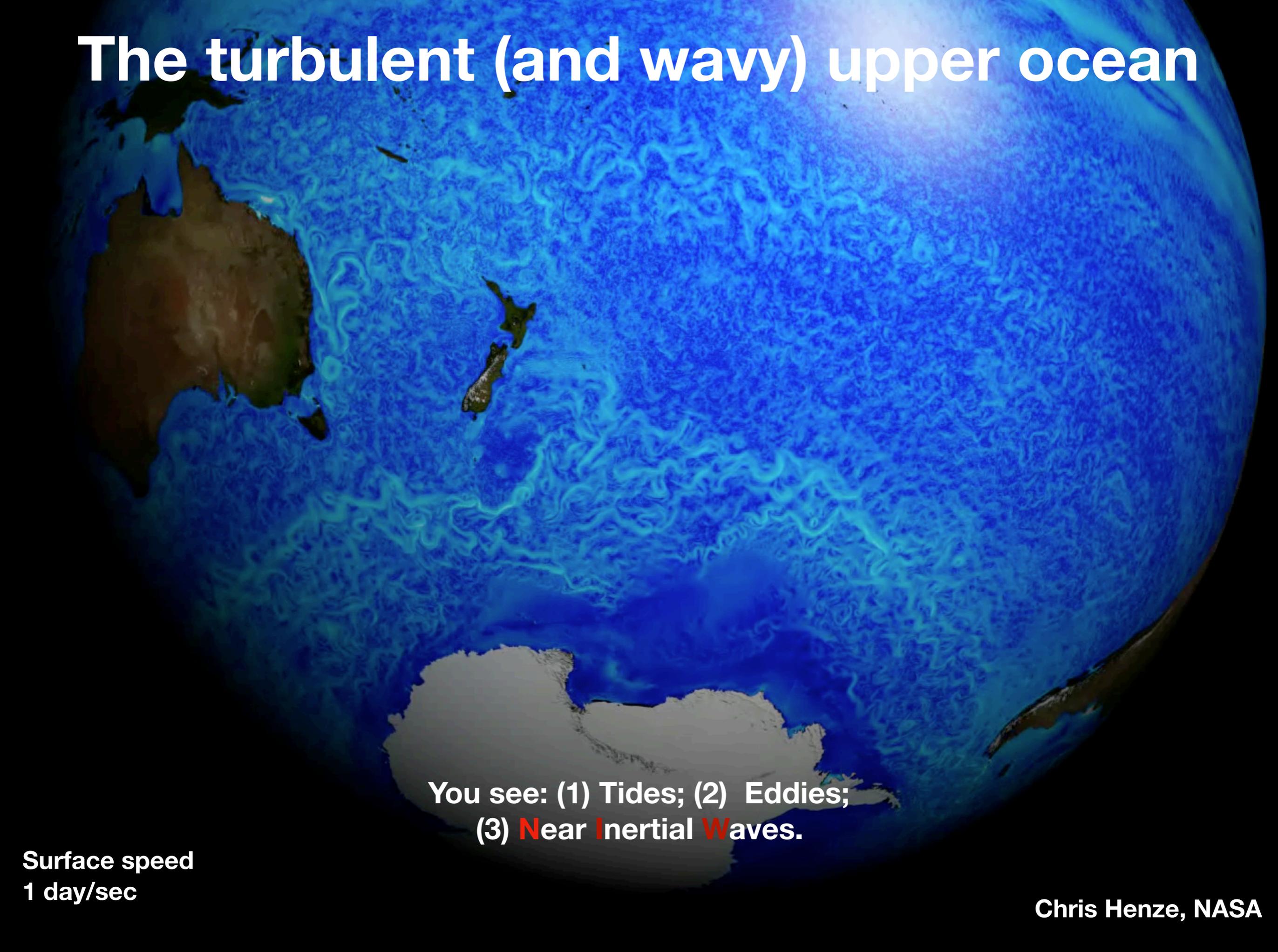
The turbulent (and wavy) upper ocean



Surface speed
1 day/sec

Chris Henze, NASA

The turbulent (and wavy) upper ocean

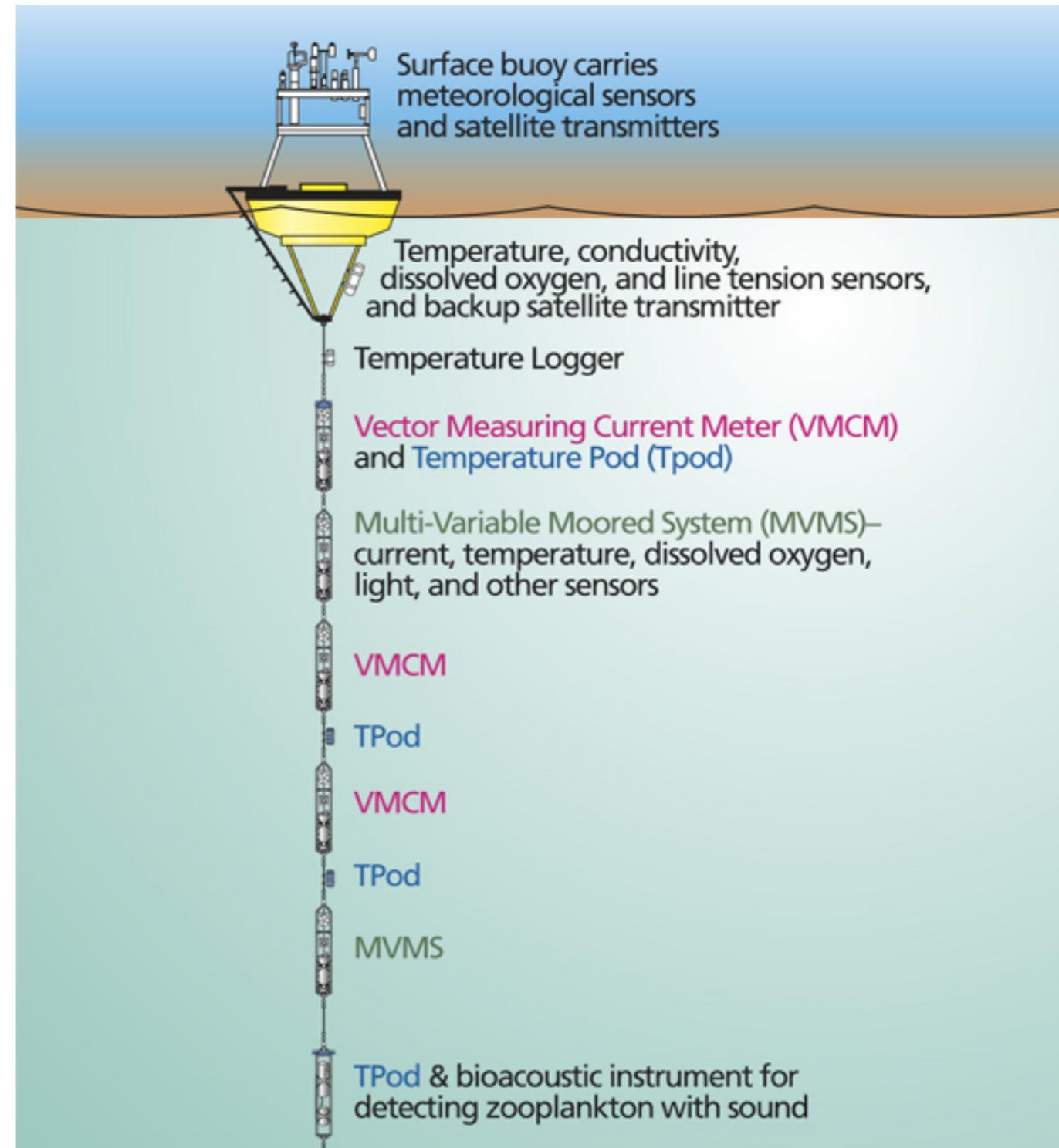
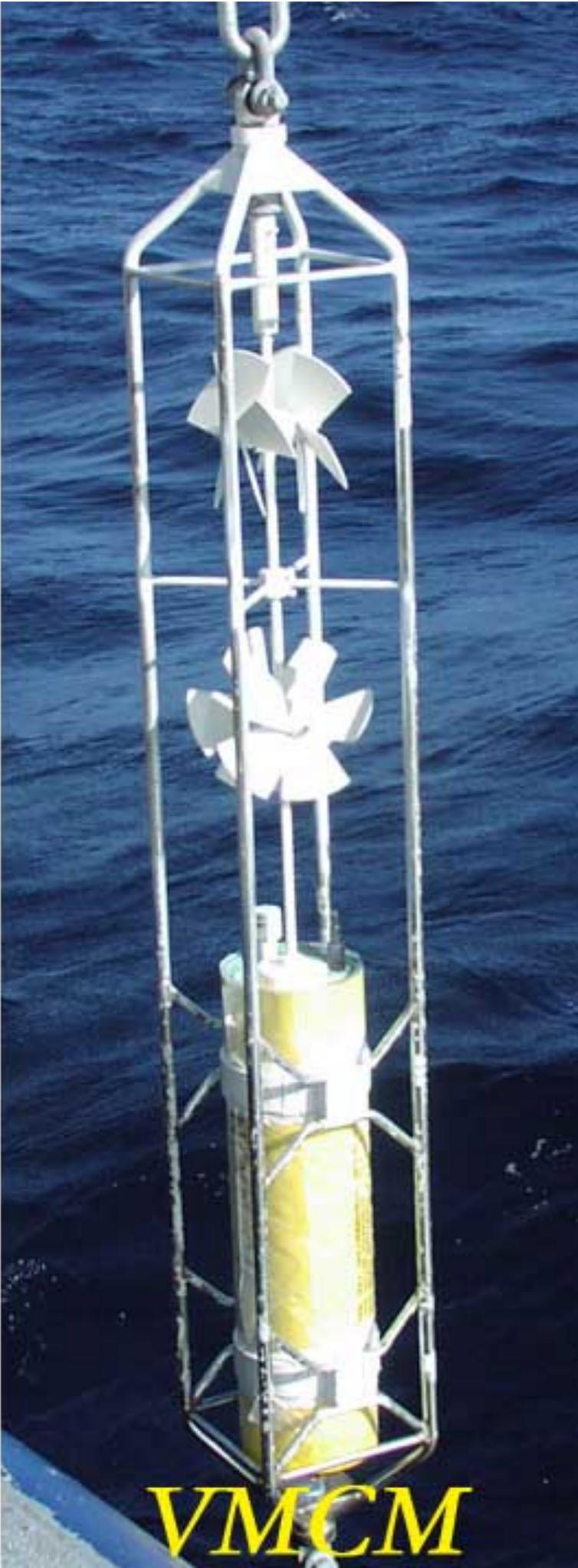


You see: (1) Tides; (2) Eddies;
(3) **Near Inertial Waves.**

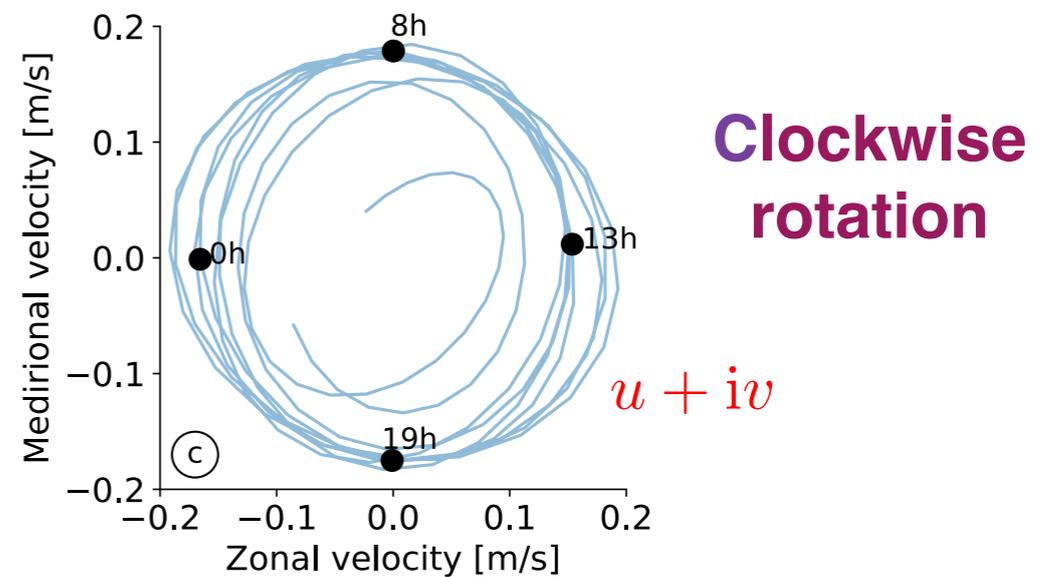
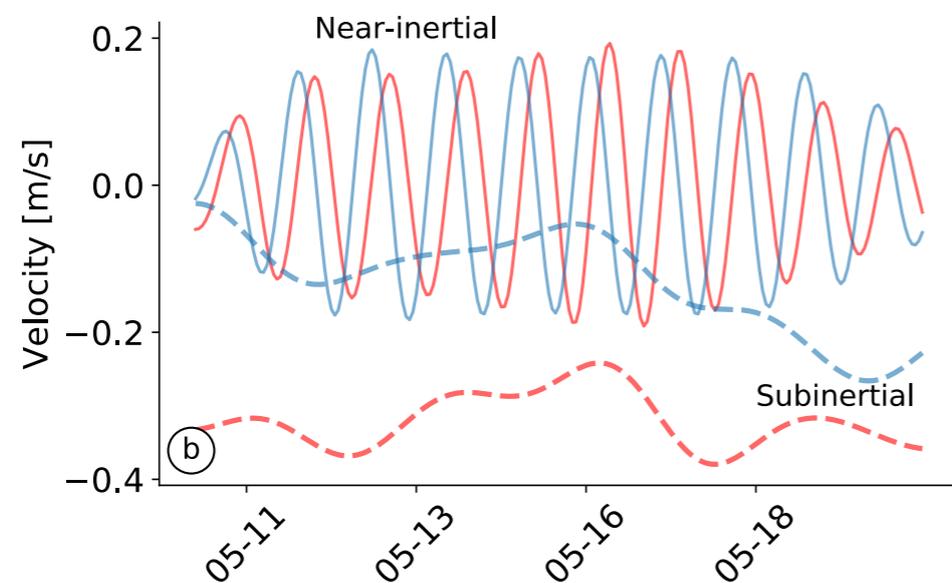
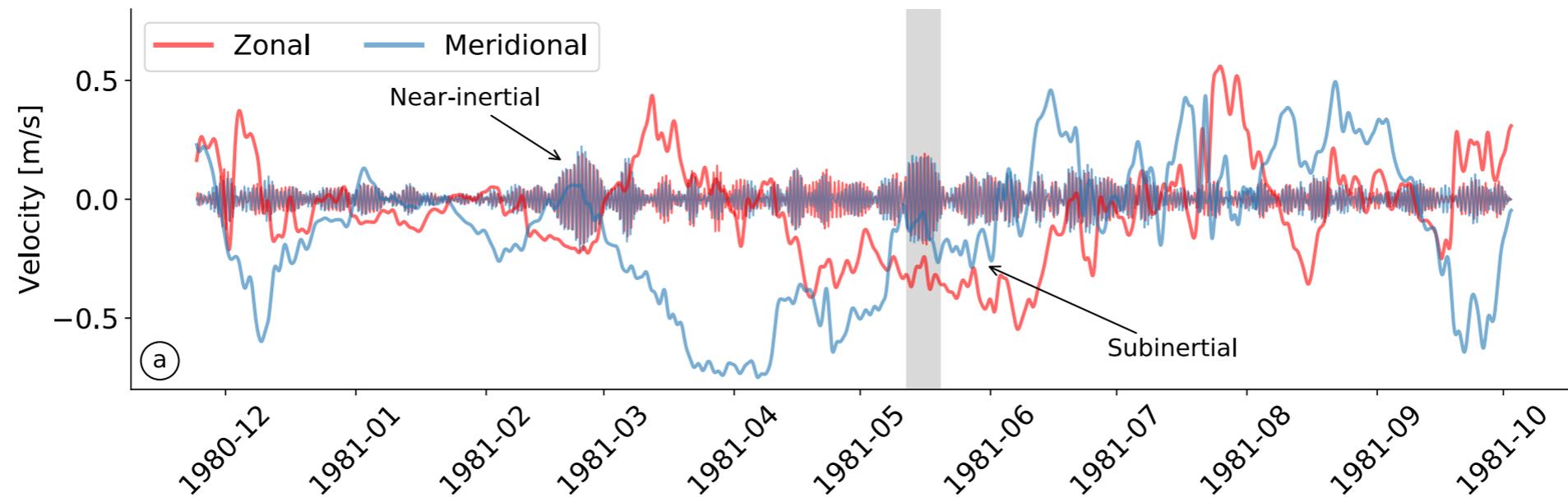
Surface speed
1 day/sec

Chris Henze, NASA

Look at measurements of the horizontal velocity taken by a current meter at a **single point** in the ocean.



Time series from WHOI mooring 699 260m depth, near the Kuroshio in the NP



**Eddies and waves are well separated in frequency
(but not in space).**

Motion in the Ocean

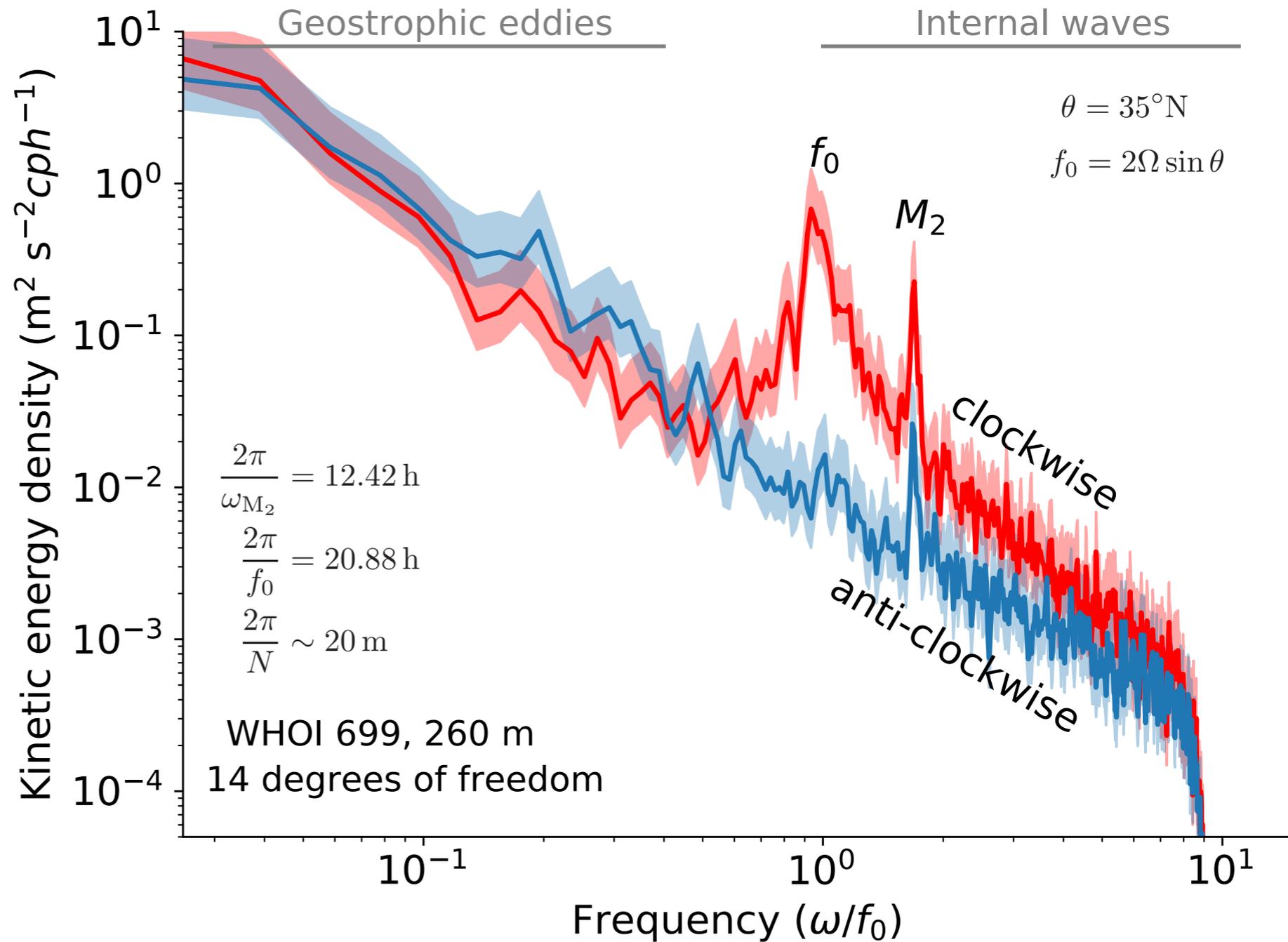
Eddies and waves are well separated in frequency.

There is no well defined spatial separation.

The near-inertial peak contains about half of the IGW energy and most of the vertical shear.

The near-inertial peak is

$$0.8 < \frac{\omega}{f_0} < 1.2$$



NIWs are wind forced

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NIWs are wind forced,
intermittent and volatile.

NIWs are not part of the
“universal IGW continuum”.

The radius of an
“inertial circle” is:

$$R = \frac{\tilde{U}}{f}$$
$$= \frac{0.6 \text{ MKS}}{10^{-4} \text{ MKS}} = 6 \text{ km}$$

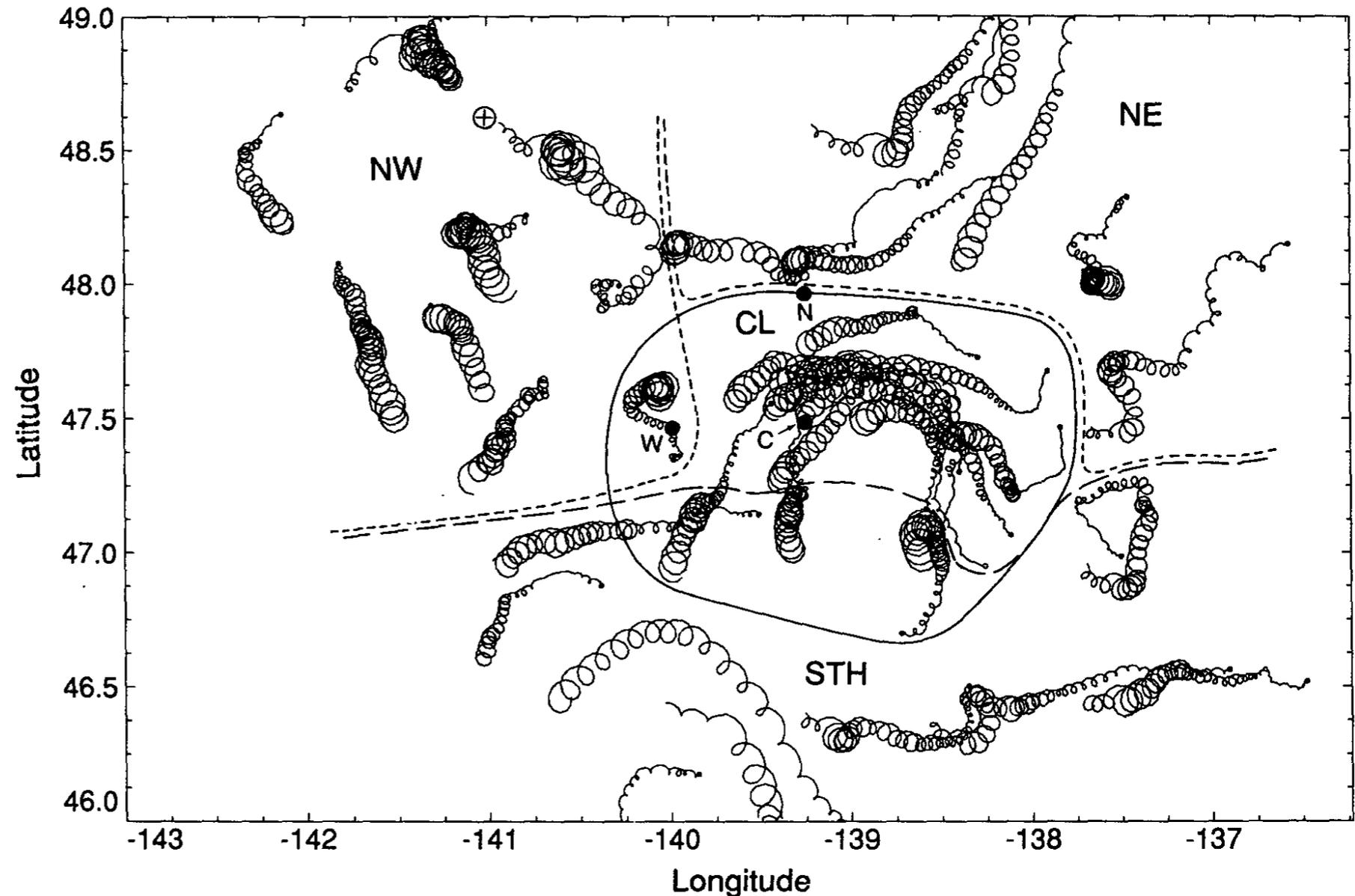


FIG. 1. Mixed layer drifter trajectories for days 275–300 of 1987 interpolated and filtered as described in the text. Many drifters were not deployed until day 280. Moorings (N, W, C) are indicated by the three large dots. The light lines define the geographic subregions used in the analysis: NE, NW (small dashes); CL (solid line); and STH (long dashes). The large circled “+” indicates a sample drifter discussed in the text.

Near-Inertial Waves

The leading-order balance in the horizontal momentum equations is:

$$u_t - fv \approx 0$$

$$v_t + fu \approx 0$$

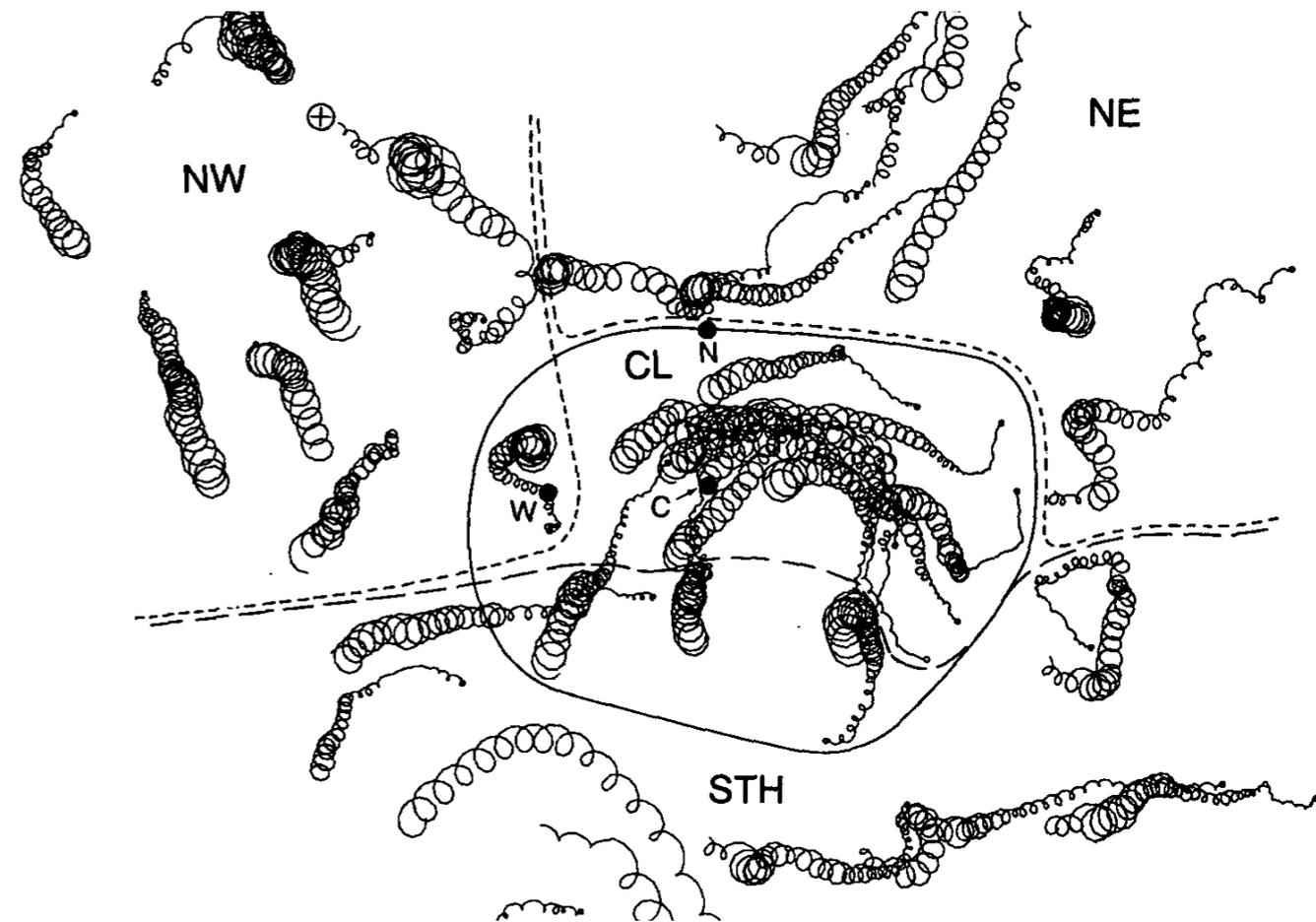
$$\Rightarrow (u + iv)_t + if(u + iv) \approx 0$$

In terms of the back-rotated velocity:

$$\partial_t [e^{ift}(u + iv)] \approx 0$$

In the shallow (50-100m deep) surface layer **NIWs** are horizontally coherent over separations greater than 1000km.

Deeper down, horizontal coherence scales are $\sim 50\text{km}-100\text{km}$.



The radius of an “inertial circle” is:

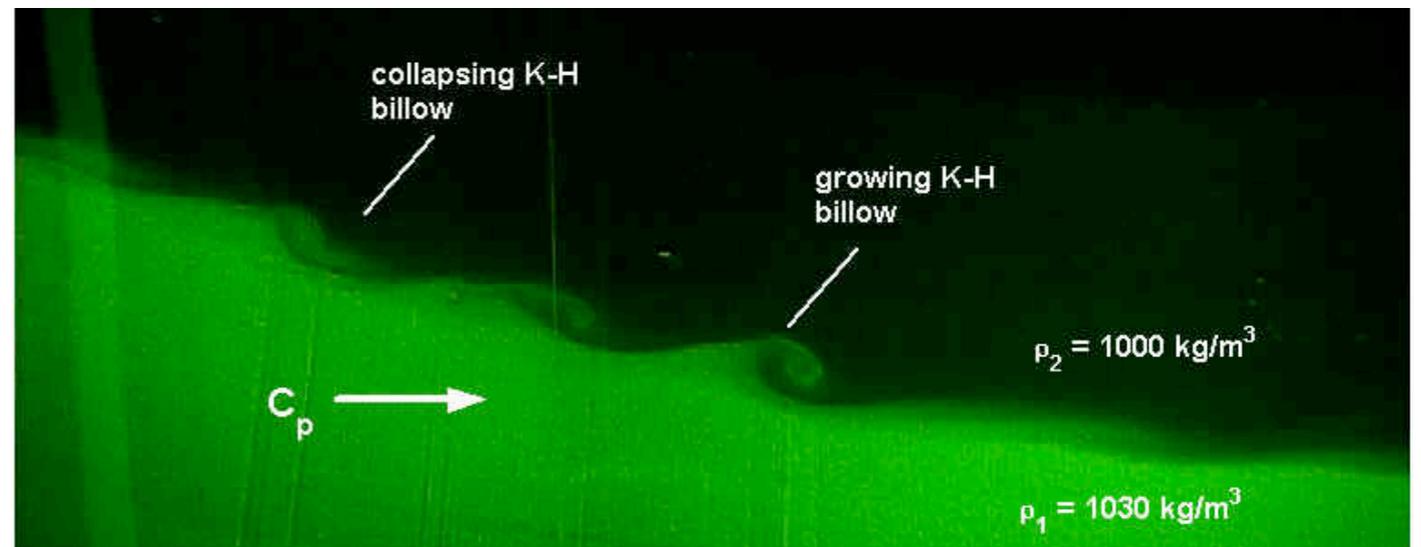
$$R = \frac{\tilde{U}}{f} \\ = \frac{0.6 \text{ MKS}}{10^{-4} \text{ MKS}} = 6\text{km}$$

The role of NIWs in oceanography

Aren't NIWs just a very special case of IGWs?

$$f \leq \omega_{IGW} = \sqrt{\frac{N^2 k^2 + f^2 m^2}{k^2 + m^2}} \leq N$$

NIWs contain most of the vertical shear in the ocean and therefore control mixing.



The leading-order NIW dynamical balance is trivial. Therefore many small physical processes are responsible for NIW evolution.

$$Ri = \frac{N^2}{u_z^2 + v_z^2}$$

$$\partial_t(u + iv) + f(u + iv) \approx 0$$

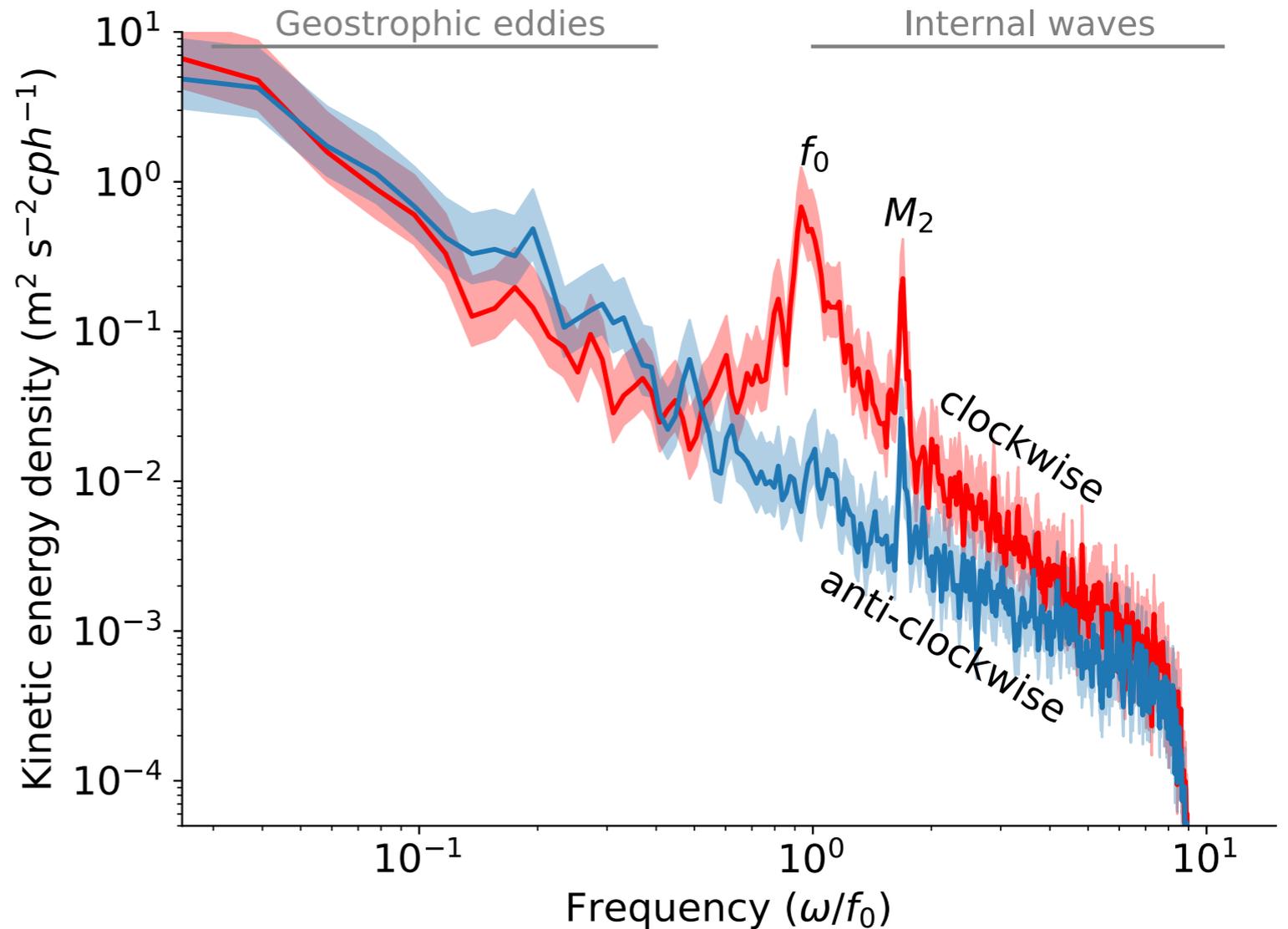
Energy exchange between balanced flow and IGWs is mostly due to NIWs.

$$Q_t + J(\psi, Q) = 0$$

$$Q = \Psi_{xx} + \Psi_{yy} + \left(\frac{f^2}{N^2} \Psi_z \right)_z$$

This concludes the general introduction

Now derive a phase-averaged description of **NIW** evolution.



This is an **NIW** analog of the QG approximation.

$$Q_t + J(\psi, Q) = 0$$
$$Q = \Psi_{xx} + \Psi_{yy} + \left(\frac{f^2}{N^2} \Psi_z \right)_z$$

The Answer

The master variable is the
back-rotated velocity $\mathbf{L}A$

$$u + iv = e^{-ift} \mathbf{L}A$$

The back-rotated velocity
satisfies a phase-averaged
evolution equation

$$\partial_t \mathbf{L}A + J(\Psi, \mathbf{L}A) + \frac{i}{2} \Delta \Psi \mathbf{L}A + \frac{i}{2} f \Delta A = 0$$

Notation:

$\Psi(x, y, z, t)$ = QG streamfunction ,

$\mathbf{L}A(x, y, z, t)$ = the back-rotated velocity

$$i^2 = -1, \quad \Delta \stackrel{\text{def}}{=} \partial_x^2 + \partial_y^2, \quad \mathbf{L} \stackrel{\text{def}}{=} \partial_z \frac{f^2}{N^2} \partial_z$$

The QG PV uses the same two
differential operators

$$Q = \Delta \Psi + \mathbf{L}\Psi$$

Preliminary considerations

Let's examine the dispersion relation of hydrostatic IGWs

$$(u, v, w, p, b) \propto e^{ikx + imz - i\omega t}$$

$$\omega = \sqrt{f^2 + \frac{N^2 k^2}{f^2 m^2}} \quad \xRightarrow{\text{NIW}} \quad \omega \approx f + \underbrace{\frac{1}{2} \frac{N^2 k^2}{f m^2}}_{\ll f}$$

Note NIWs have extreme aspect ratio — like pancakes.

$$\frac{N}{f} \gg 1, \quad \text{but still} \quad \underbrace{\frac{N^2 k^2}{f^2 m^2} = \left(\frac{N \lambda_v}{f \lambda_h} \right)^2}_{\text{Burger number}} \ll 1$$

The **Burger number** is the order parameter in the following expansion. The **Rossby number** is secondary.

1. A phase-averaged NIW equation

Linearize around a
quasigeostrophic flow

$$[U, V, W, B, P] = [-\Psi_y, \Psi_x, 0, f\Psi_z, f\Psi]$$

Use multiple time-scales.
Avoid WKB.

$$\partial_t \mapsto \partial_t + \epsilon^2 \partial_{t_2}$$
$$\epsilon^2 = Bu = Ro$$

$$u_t + \epsilon^2 [u_{t_2} + \mathbf{U} \cdot \nabla u + \mathbf{u} \cdot \nabla U + p_x] - fv = 0,$$
$$v_t + \epsilon^2 [v_{t_2} + \mathbf{U} \cdot \nabla v + \mathbf{u} \cdot \nabla U + p_y] + fu = 0,$$
$$p_z + b = 0,$$
$$u_x + v_y + w_z = 0,$$
$$b_t + \epsilon^2 [b_{t_2} + \mathbf{U} \cdot \nabla b + \mathbf{u} \cdot \nabla B] + wN^2 = 0.$$

The “pressureless”
leading-order solution

$$\partial_s = \frac{1}{2} (\partial_x - i\partial_y)$$

$$u_0 + iv_0 = \left(\frac{f^2}{N^2} A_z \right)_z e^{-ift_0},$$
$$w_0 = -\frac{f^2}{N^2} A_{zs} e^{-ift_0} + \text{cc.},$$
$$b_0 = if A_{zs} e^{-ift_0} + \text{cc.},$$
$$p_0 = if A_s e^{-ift_0} + \text{cc.},$$

2. A phase-averaged NIW equation

There is a solvability condition at next order

$$(\partial_t + if)(u_2 + iv_2) = e^{+ift} [\dots] - e^{-ift} \underbrace{\left[\partial_{t_2} \mathbf{L}A + J(\Psi, \mathbf{L}A) + \frac{i}{2} \Delta \Psi \mathbf{L}A + \frac{i}{2} f \Delta A \right]}_{\mapsto 0}$$

The NIW evolution equation

$$\partial_{t_2} \mathbf{L}A + J(\Psi, \mathbf{L}A) + \frac{i}{2} \Delta \Psi \mathbf{L}A + \frac{i}{2} f \Delta A = 0$$

Physical interpretation

Advection:	$\mathbf{U} \cdot \nabla(u, v)$	\Rightarrow	$J(\Psi, \mathbf{L}A)$
Refraction:	$\mathbf{u} \cdot \nabla(U, V)$	\Rightarrow	$\frac{i}{2} \Delta \Psi \mathbf{L}A$
Dispersion:	∇p	\Rightarrow	$\frac{i}{2} f \Delta A$

Differential operators

$$\Delta \stackrel{\text{def}}{=} \partial_x^2 + \partial_y^2, \quad \mathbf{L} \stackrel{\text{def}}{=} \partial_z \frac{f^2}{N^2} \partial_z$$

Special solutions

First, $\Psi=0$:

$$\partial_t \mathbf{L}A + J(\Psi, \mathbf{L}A) + \frac{i}{2} \Delta \Psi \mathbf{L}A + \frac{i}{2} f \Delta A = 0$$

Then we recover the NIW dispersion relation.

$$\omega \approx f + \frac{1}{2} \frac{N^2 k^2}{f m^2}$$

$$A = e^{ikx + imz - i\sigma t},$$

$$\Rightarrow \sigma = \frac{N^2 k^2}{2 f m^2}$$

Advection is intuitive.

$$J(\Psi, \mathbf{L}A)$$

How about refraction term?

$$\frac{i}{2} \Delta \Psi \mathbf{L}A$$

$$\Delta \stackrel{\text{def}}{=} \partial_x^2 + \partial_y^2, \quad \mathbf{L} \stackrel{\text{def}}{=} \partial_z \frac{f^2}{N^2} \partial_z$$

Refraction $\frac{i}{2}\Delta\Psi LA$

An elliptical eddy



A special solution:
a large scale wave.

The frequency shift is:

The corresponding solution of the
phase-averaged equation is:

So the “effective inertial frequency is:

$$U = -\Psi_y = -\alpha y, \quad V = \Psi_x = \beta x \\ \Rightarrow \Delta\Psi = \alpha + \beta$$

$$u_t - \alpha y u_x + \beta x u_x - (f + \alpha)v + p_x = 0, \\ v_t - \alpha y v_x + \beta x v_x + (f + \beta)u + p_y = 0.$$

$$\omega = \sqrt{(f + \alpha)(f + \beta)} \approx f + \frac{1}{2}(\alpha + \beta) \\ \text{(Small Rossby number)}$$

$$\partial_t LA + J(\Psi, LA) + \frac{i}{2}\Delta\Psi LA + \frac{i}{2}f\Delta A = 0 \\ \Rightarrow u + iv = \exp\left[-ift - \frac{i}{2}(\alpha + \beta)t\right]$$

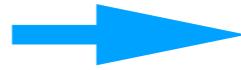
$$f_{\text{eff}} = f + \frac{1}{2}\Delta\Psi$$

The (flawed) 2D quantum analogy

A vertical plane wave solution:

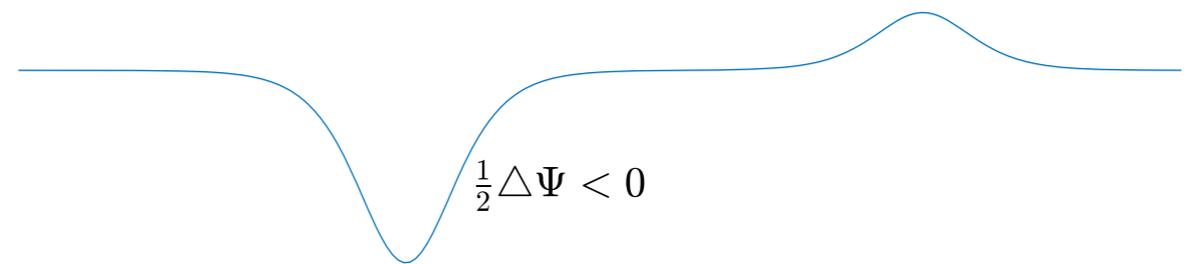
$$A \mapsto e^{imz} A(x, y, t),$$

$$L \mapsto -\left(\frac{mf}{N}\right)^2 = -m'^2$$



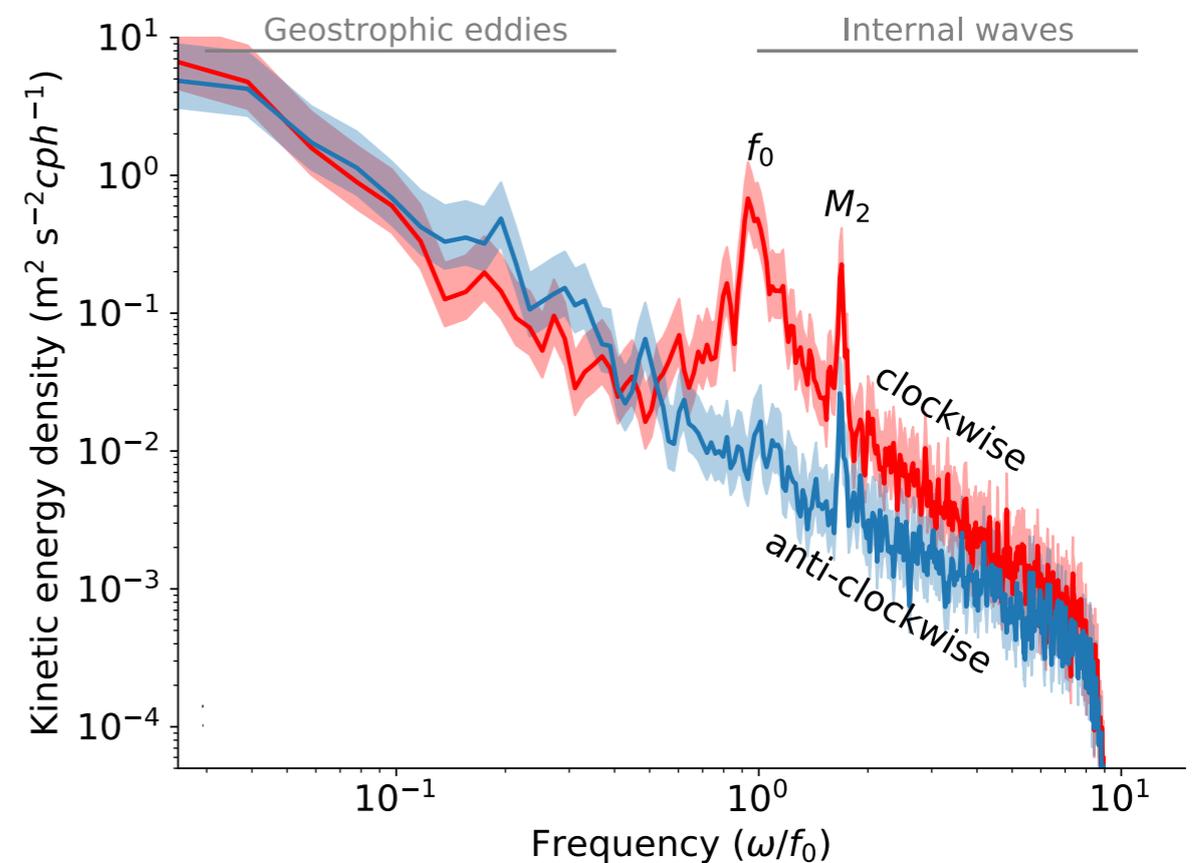
$$A_t + J(\Psi, A) + \frac{i}{2} \Delta \Psi A = \frac{i}{2} \underbrace{\frac{f}{m'^2}}_{\hbar} \Delta A$$

Negative vortices are analogous to potential wells. NIWs are trapped in negative vortices (and expelled from positive vortices).



In regions of negative vorticity the internal wave band is wider.

$$\omega_{\min} = f + \frac{1}{2} \Delta \Psi < f$$



Action conservation

An obvious
conservation law:

$$\begin{aligned} \mathbf{L}A^* \left[\partial_t \mathbf{L}A + J(\Psi, \mathbf{L}A) + \frac{i}{2} \Delta \Psi \mathbf{L}A + \frac{i}{2} f \Delta A = 0 \right] \\ \Rightarrow \partial_t |\mathbf{L}A|^2 + \nabla \cdot \mathbf{F} = 0. \end{aligned}$$

So NIW horizontal
kinetic energy is
conserved?

$$|\mathbf{L}A|^2 = u^2 + v^2$$

Yes — this is consistent with Bretherton&Garrett!

$$\text{Action} = \frac{\text{energy}}{\text{intrinsic frequency}} \quad \xrightarrow{\text{NIW}} \quad \frac{u^2 + v^2}{2f}$$

$$\Delta \stackrel{\text{def}}{=} \partial_x^2 + \partial_y^2, \quad \mathbf{L} \stackrel{\text{def}}{=} \partial_z \frac{f^2}{N^2} \partial_z$$

This concludes derivation and discussion of the **NIW** equation

$$\partial_{t_2} \mathcal{L}A + J(\Psi, \mathcal{L}A) + \frac{i}{2} \Delta \Psi \mathcal{L}A + \frac{i}{2} f \Delta A = 0$$

Now let's solve the **NIW** equation and explain how and why there can be significant vertical propagation of NIW energy into the deep ocean.

$$\Delta \stackrel{\text{def}}{=} \partial_x^2 + \partial_y^2, \quad \mathcal{L} \stackrel{\text{def}}{=} \partial_z \frac{f^2}{N^2} \partial_z$$

Gill's problem

A storm impulsively forces a shallow layer of ocean.

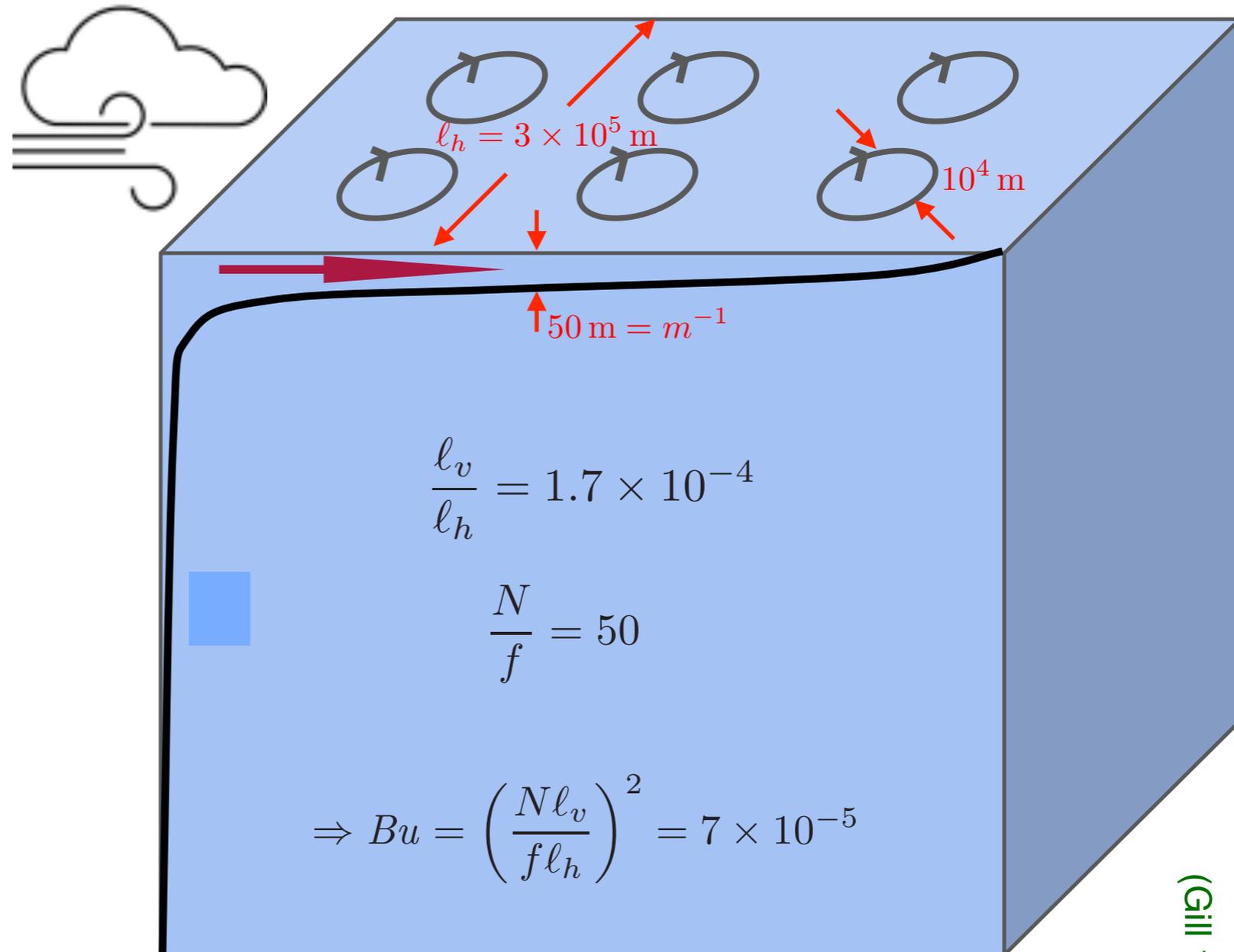
But the NIW vertical group velocity is:

$$\omega \approx f + \frac{N^2 k^2}{2fm^2}$$

$$\Rightarrow c_v \approx -\frac{N^2 k^2}{fm^3} \approx -Bu \frac{f}{m}$$

The time for vertical transit through the surface layer is:

$$\begin{aligned} \frac{\ell_v}{c_v} &= \frac{1}{fBu} \\ &= 15000/f \\ &= 4.5 \text{ years} \end{aligned}$$



(Gill 1984)

The aspect ratio is too extreme, and therefore the radiation damping time scale is too long by a factor ~ 1000

Four resolutions of Gill's problem

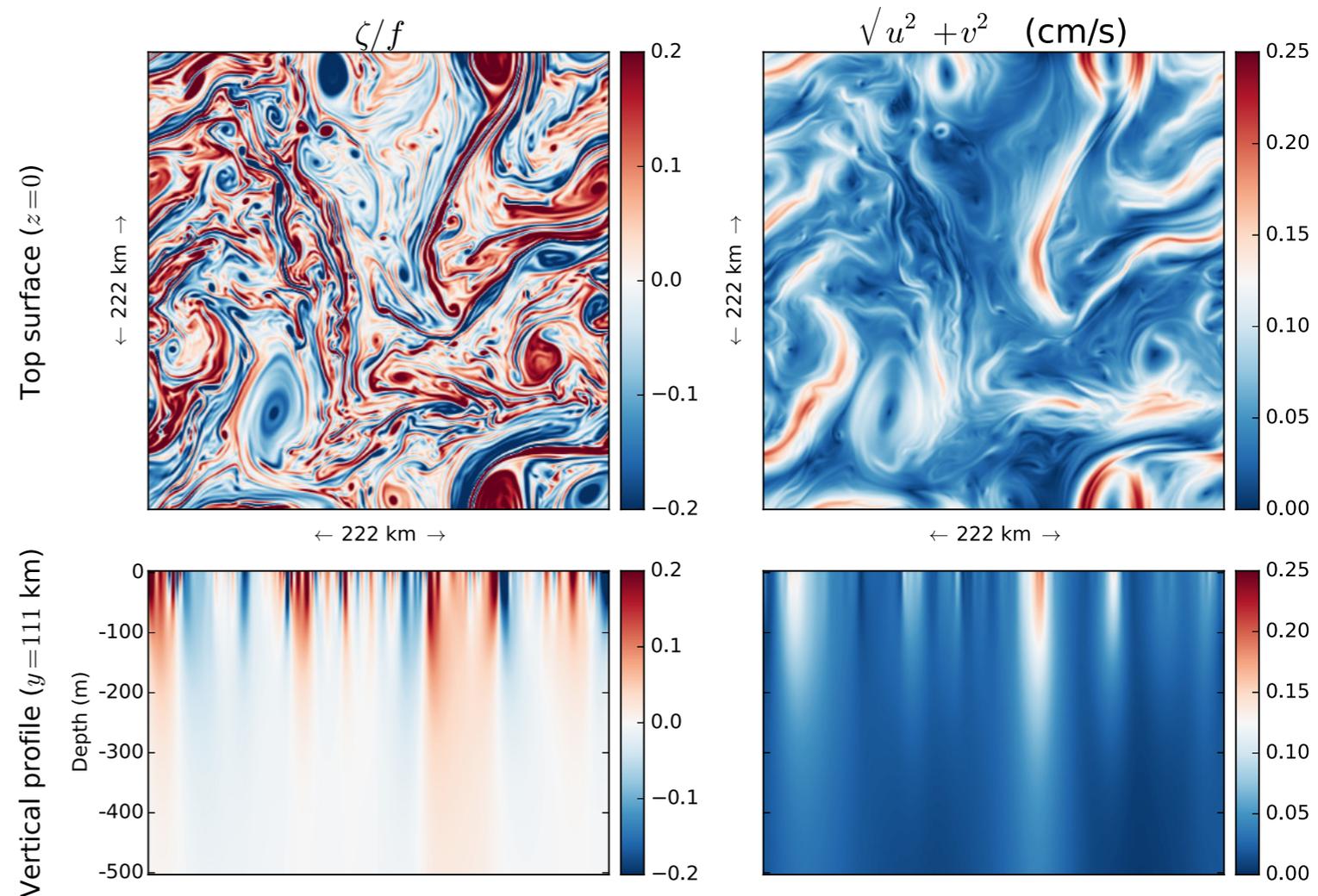
NIWs might have small (10-100km) horizontal length scales because:

(a) The atmospheric forcing has small ($\sim 100\text{km}$) scales.

(b) The mixed-layer depth is not uniform in the horizontal e.g., fronts.

(c) The β -effect reduces the initial 1000km scale.

(d) Mesoscale eddies “imprint” their length scales on the **NIWs**

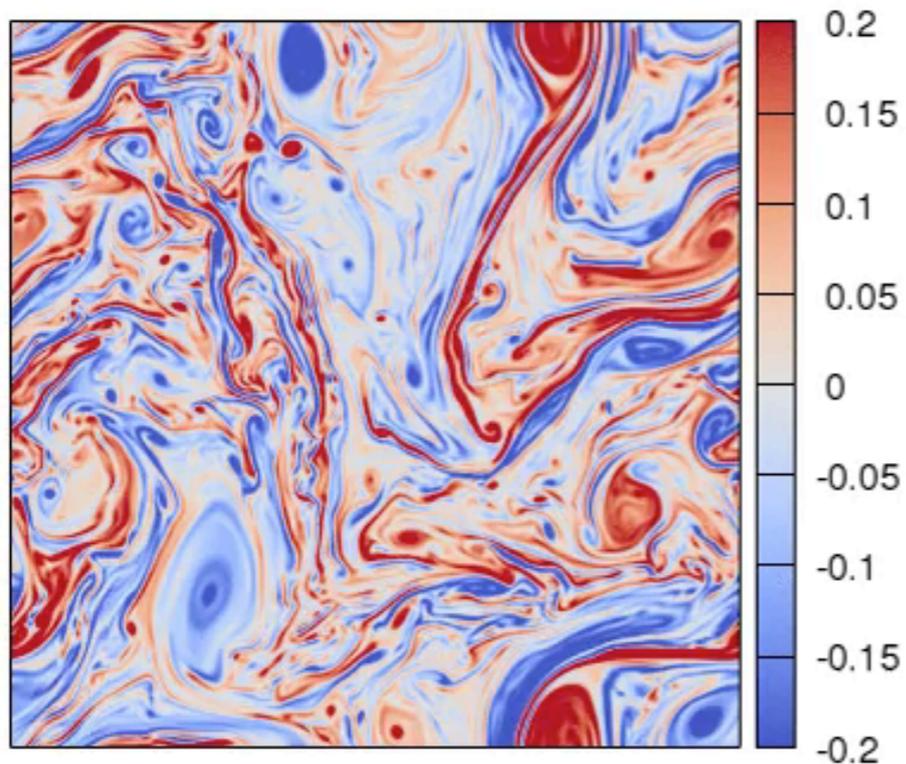


$$\partial_t \mathbf{L}A + J(\Psi, \mathbf{L}A) + \underbrace{\frac{i}{2} \Delta \Psi \mathbf{L}A}_{\text{“imprinting”}} + \frac{i}{2} f \Delta A = 0$$

A numerical solution

$$\partial_t \mathbf{L}A + J(\Psi, \mathbf{L}A) + \underbrace{\frac{i}{2} \Delta \Psi \mathbf{L}A}_{\text{"imprinting"}} + \frac{i}{2} f \Delta A = 0$$

sea-surface $\Delta \Psi$



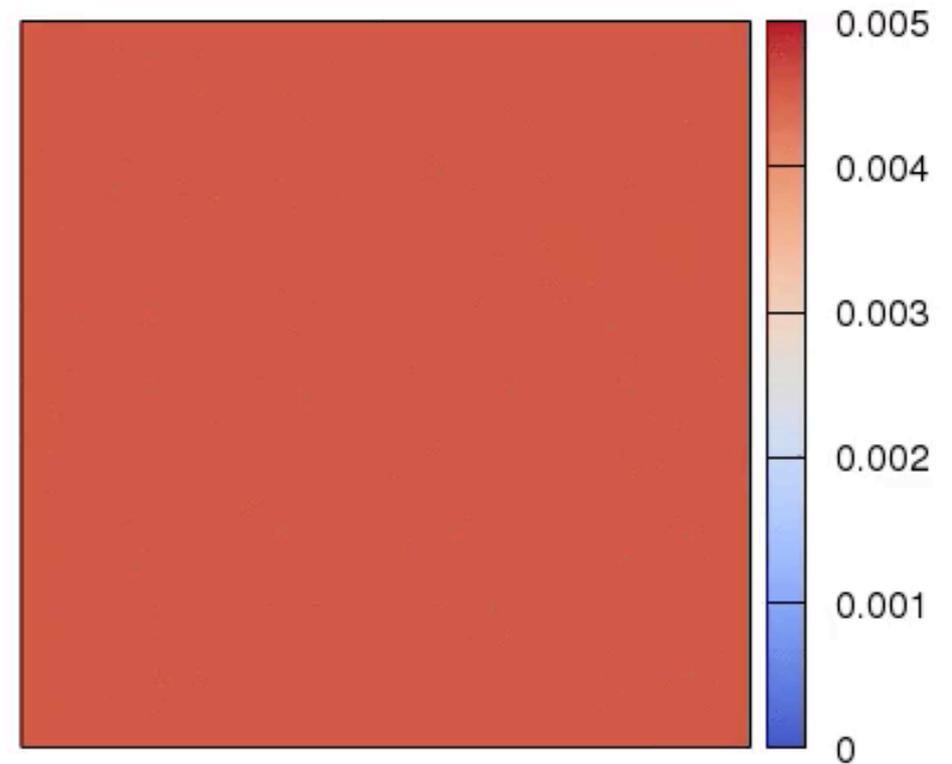
t=0.00 tau_e (0.00 days)

t=0: mature geostrophic turbulence

$$Q_t + J(\psi, Q) = 0$$

$$Q = \Psi_{xx} + \Psi_{yy} + \left(\frac{f^2}{N^2} \Psi_z \right)_z$$

sea-surface $u^2 + v^2 = |\mathbf{L}A|^2$



t=0.00 tau_e (0.00 days)

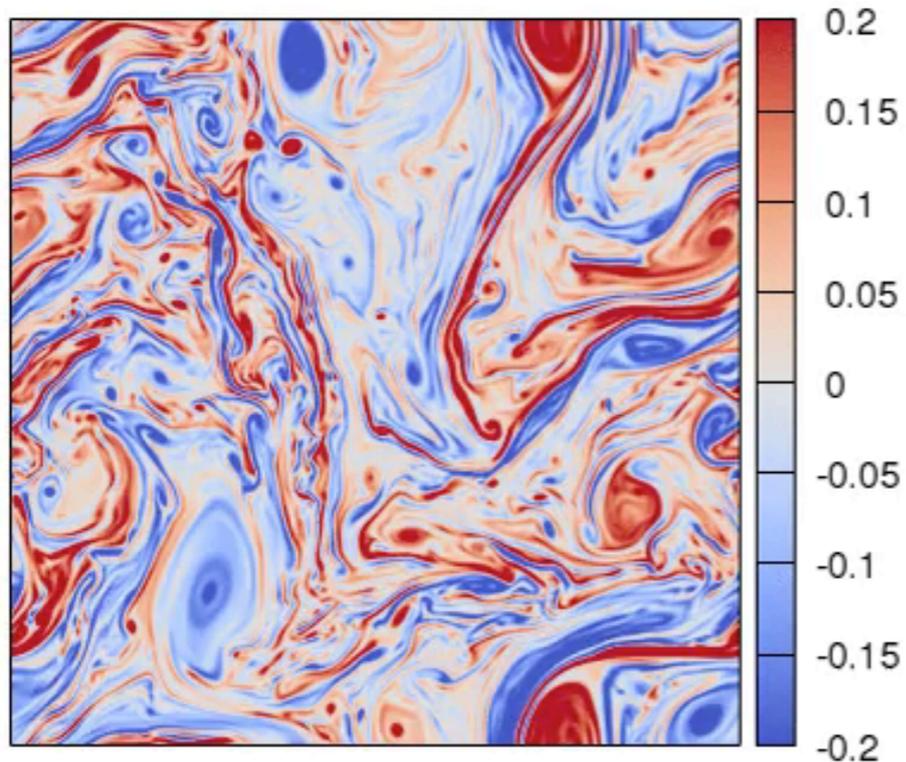
$$t = 0 : \quad u + iv = \mathbf{L}A = \tilde{U} \exp\left(-\frac{z^2}{\delta^2}\right)$$

By 15 days there is no **NIW** energy left at the sea-surface!

A numerical solution

$$\partial_t \mathbf{L}A + J(\Psi, \mathbf{L}A) + \underbrace{\frac{i}{2} \Delta \Psi \mathbf{L}A}_{\text{"imprinting"}} + \frac{i}{2} f \Delta A = 0$$

sea-surface $\Delta \Psi$



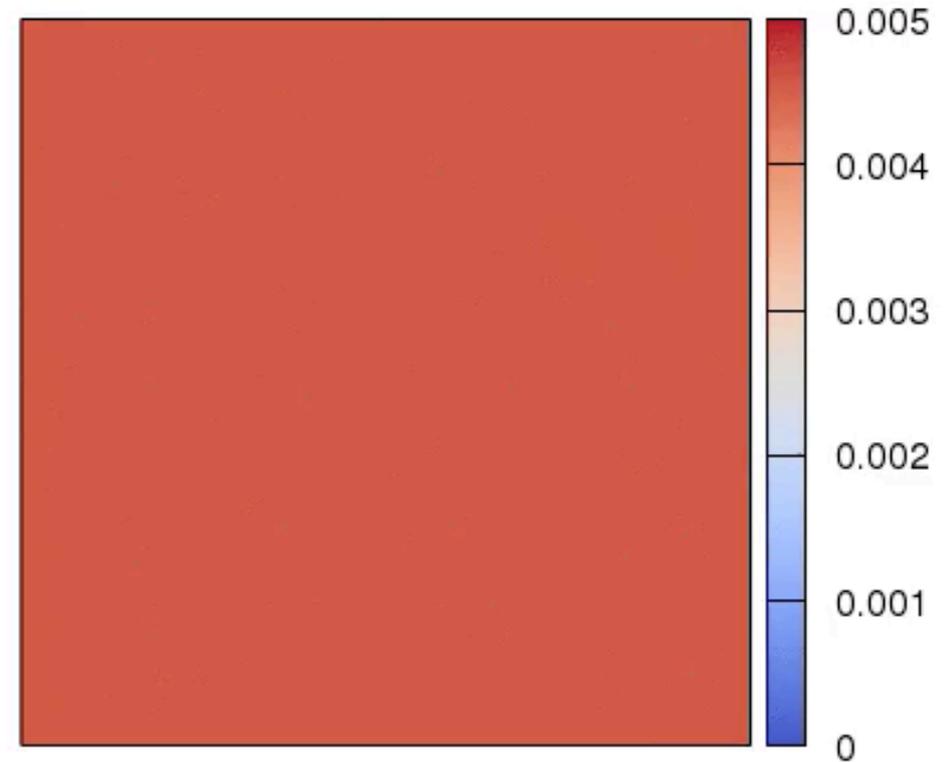
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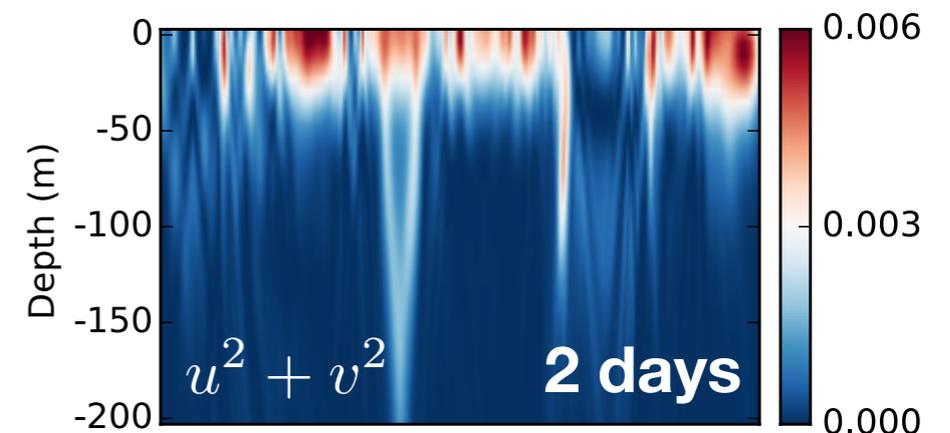
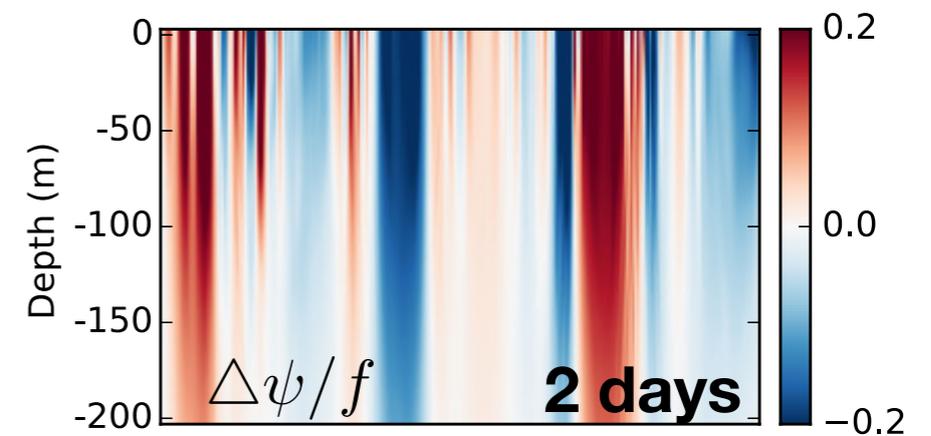
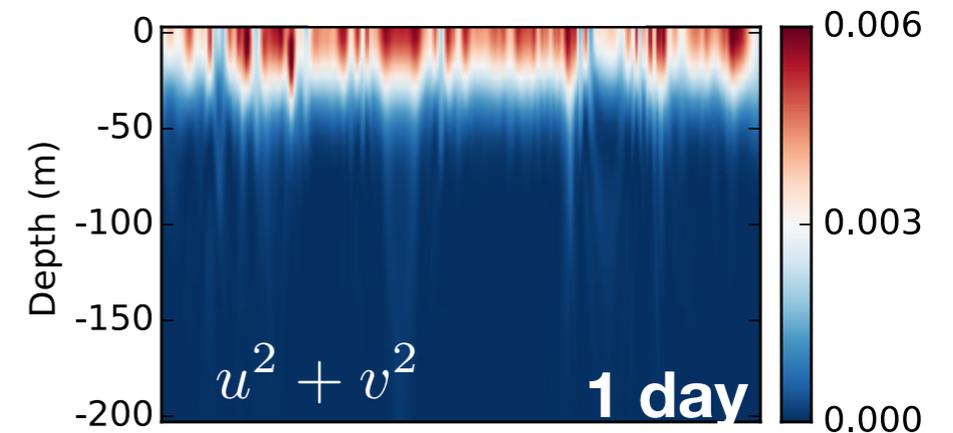
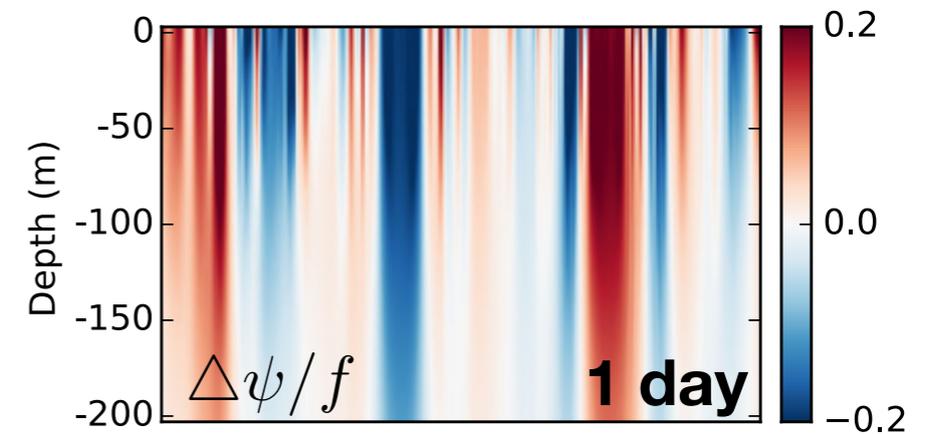
By 15 days there is no **NIW** energy left at the sea-surface!

What happened to the WKE?

WKE is rapidly expelled from positive vortices and concentrated into negative vortices.

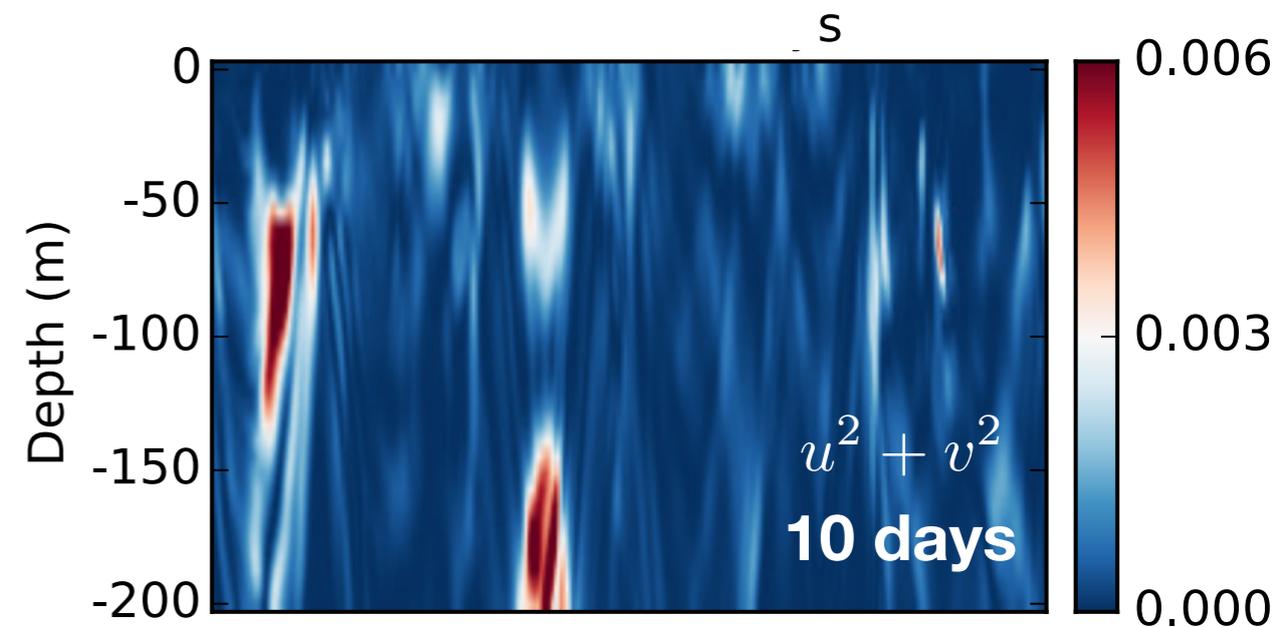
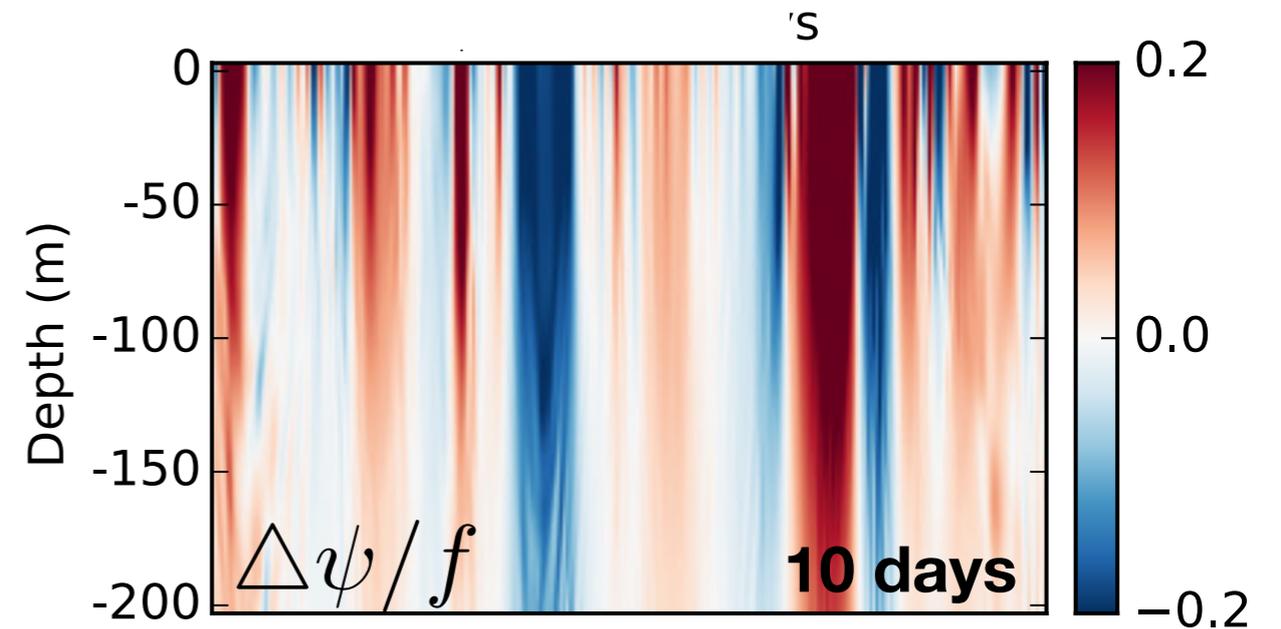
Then there is rapid downwards vertical propagation inside the negative vortices.

Negative vortices are NIW wave guides, or inertial drain pipes. Negative vortices are vertical conduits to the deep ocean.



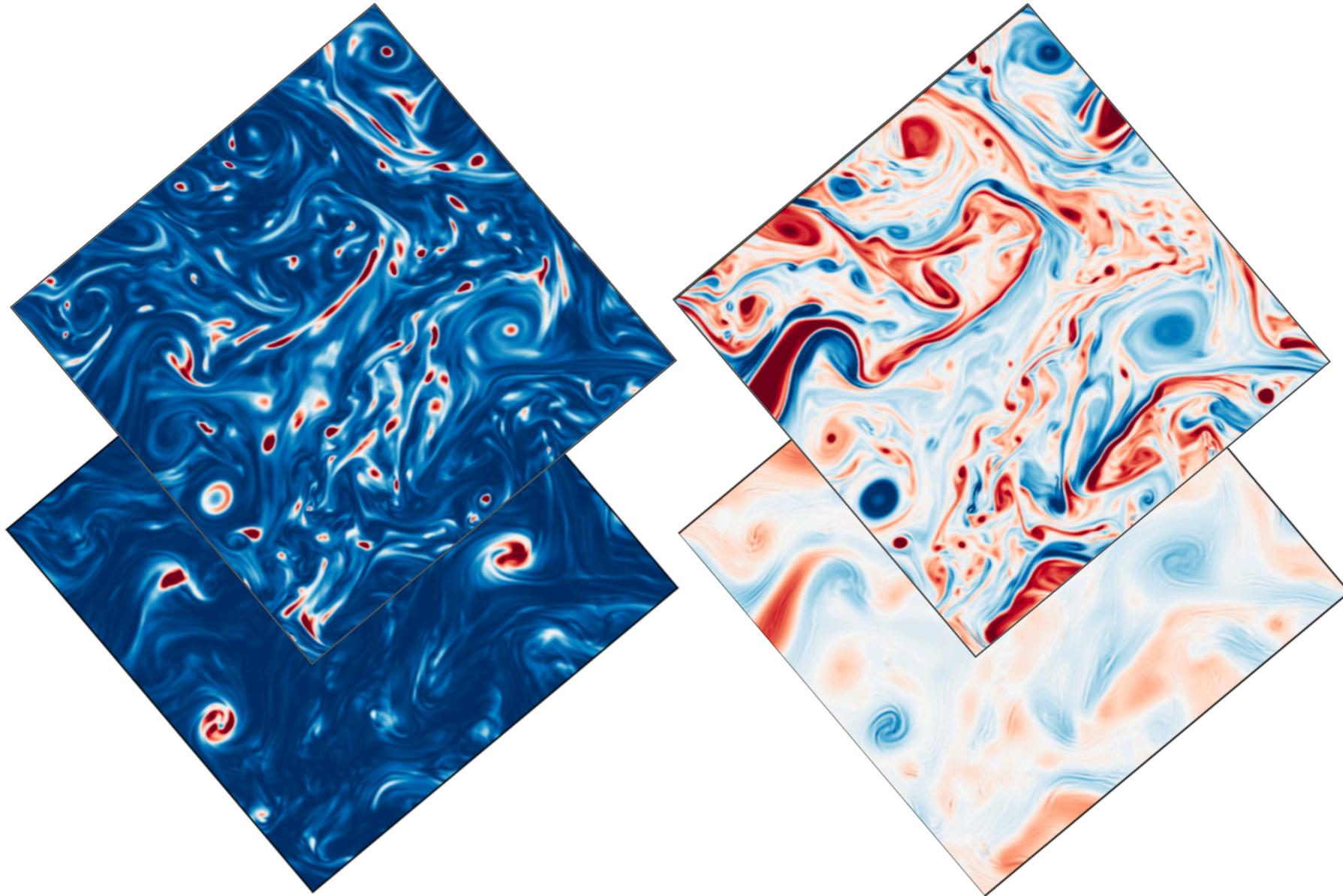
“Inertial drain pipes”

Negative vortices are vertical conduits that drain NIWs into the deep ocean.



(I'm re-branding Lee & Niiler's “inertial chimneys” into “inertial drain pipes”.)

This concludes the discussion
of Gill's problem



What have I not talked about?

Do NIWs affect eddies? Is there energy transfer?

So far we have considered the NIWs as a dynamically passive (complex) scalar.

$$\partial_t \mathbf{L}A + J(\Psi, \mathbf{L}A) + \frac{i}{2} \Delta \Psi \mathbf{L}A + \frac{i}{2} f \Delta A = 0$$

The QG approximation is used for the eddy field.

$$Q_t + J(\Psi, Q) = 0$$
$$Q = \Delta \Psi + \mathbf{L}\Psi$$

Xie & Vanneste (2015) have included the reaction of the NIWs back onto the QG eddies.

Recall the back-rotated velocity

$$u + iv = e^{-ift} \mathbf{L}A$$

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$$Q_t + J(\Psi, Q) = 0$$

~~$Q = \Delta \Psi + \mathbf{L}\Psi$~~

$$Q = \Delta \Psi + \mathbf{L}\Psi + \frac{1}{4f} \Delta |\mathbf{L}A|^2 + \frac{i}{2f} J(\mathbf{L}A^*, \mathbf{L}A)$$

$$u + iv = e^{-ift} \mathbf{L}A$$

A special case: The vertical plane wave model

The vertical plane wave simplification:
(very similar to the shallow-water model)

$$L \mapsto \left(\frac{fm}{N}\right)^2$$

The **NIW** equation is now:
(2D quantum analogy again)

$$u + iv = e^{i(mz - ft)} \phi - \psi_y + i\psi_x$$

$$\phi_t + J(\psi, \phi) + \frac{i\Delta\psi}{2}\phi = \frac{i\eta}{2}\Delta\phi$$

PV conservation

$$q_t + J(\psi, q) = 0$$

The PV is:

$$q = \Delta\psi + \frac{1}{4f}\Delta|\phi|^2 + \frac{i}{2f}J(\phi^*, \phi)$$

This is the simplest system exhibiting the interaction
between geostrophic turbulence and **NIWs**.

Why can be done with this model,
other than numerical solution?

$$q_t + J(\psi, q) = 0$$

$$\phi_t + J(\psi, \phi) + \frac{i\Delta\psi}{2}\phi = \frac{i\eta}{2}\Delta\phi$$

$$q = \Delta\psi + \frac{1}{4f}\Delta|\phi|^2 + \frac{i}{2f}J(\phi^*, \phi)$$

Two conservation laws

Wave action, equivalently
NIW kinetic energy is still
conserved.

$$\frac{d}{dt} \langle \frac{1}{2} |\phi|^2 \rangle = 0$$

NIW KE

But “coupled energy”
is also conserved.

$$\frac{d}{dt} \langle \frac{1}{2} |\nabla \psi|^2 + \frac{1}{4} \lambda^2 |\nabla \phi|^2 \rangle = 0$$

Eddy KE NIW PE

$$u + iv = e^{i(mz-ft)} \phi - \psi_y + i\psi_x \quad \Rightarrow \quad u^2 + v^2 = |\nabla \psi|^2 + |\phi|^2$$

Stimulated Loss of Balance also known as SLoB

$$\underbrace{\left\langle \frac{1}{2} |\nabla \psi|^2 \right\rangle}_{\text{eddy KE}} + \underbrace{\left\langle \frac{1}{2} \lambda^2 |\nabla \phi|^2 \right\rangle}_{\text{NIW PE}} = \text{constant}$$

If **NIW** PE increases, then eddy KE must decrease.
This is *stimulated* transfer of eddy KE to **NIWs**.
(There is also *spontaneous* transfer.)

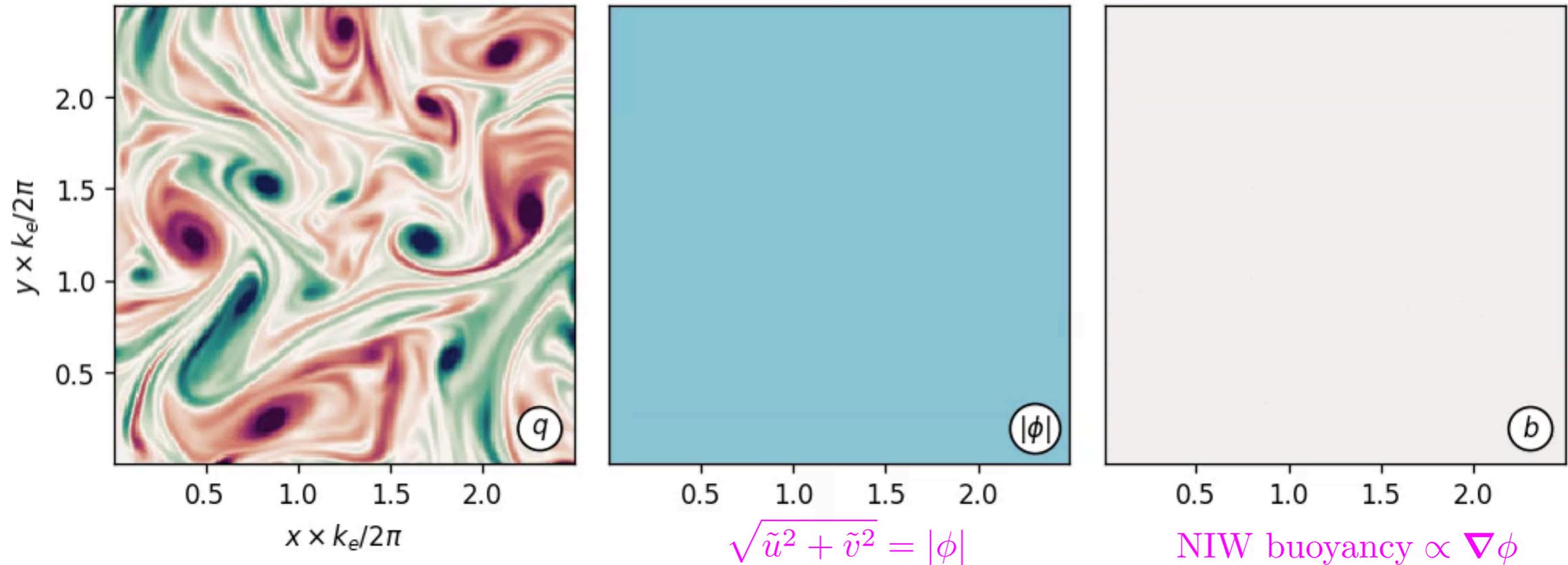
And here are two good reasons
for **NIW** PE to increase.

$$\phi_t + \underbrace{J(\psi, \phi)}_{1. \text{ advection}} + \underbrace{\frac{i\Delta\psi}{2} \phi}_{2. \text{ refraction}} = \frac{i\eta}{2} \Delta\phi$$

A solution of the single-wave model

$$q = \Delta\psi + \frac{1}{4f}\Delta|\phi|^2 + \frac{i}{2f}J(\phi^*, \phi)$$

$$t \times U_e k_e = 0.00$$



$t=0$: (u,v) = mature 2D turbulence + a spatially uniform NIW

ϕ develops small-scale structure: **SLoB** works.

But **SLoB** is much slower than e^t

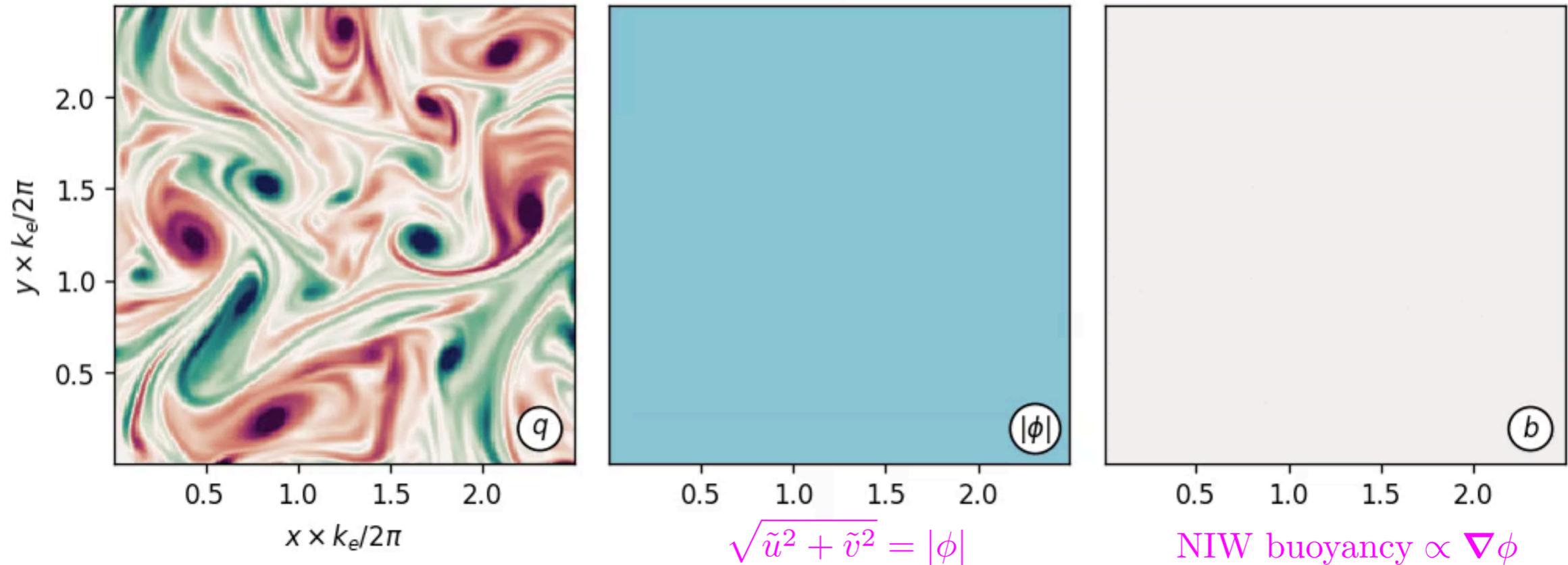
In other words, ϕ does not cascade to high wavenumber.

➔ Conclusion: **SLoB** is slow.

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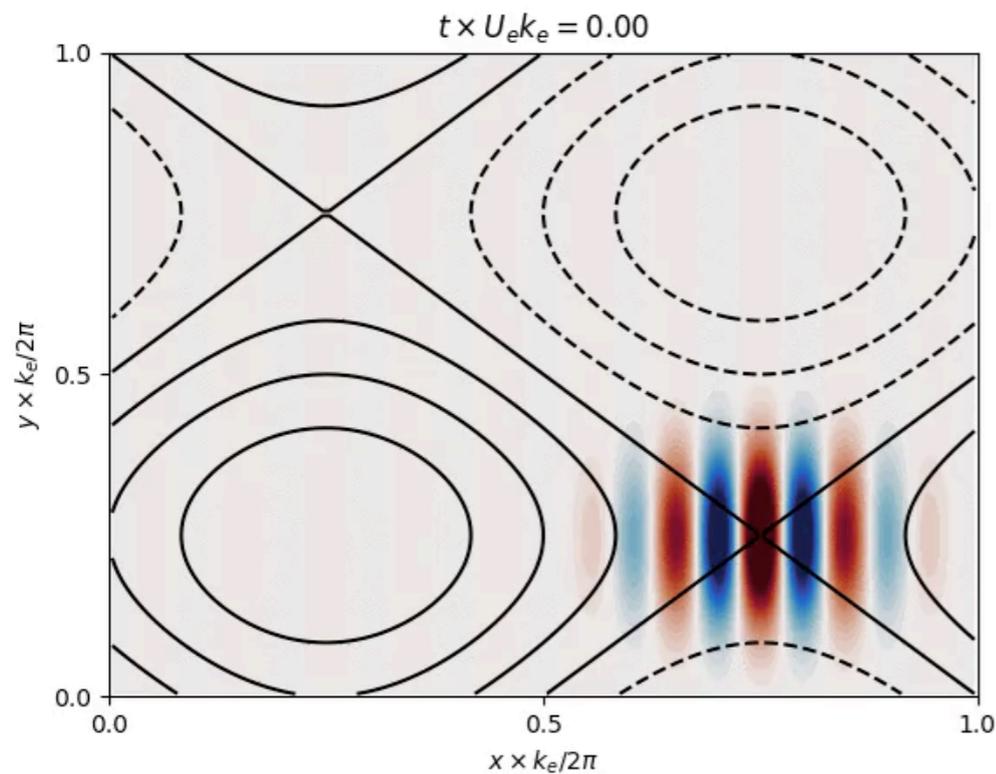
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➔ Conclusion: **SLoB** is slow.

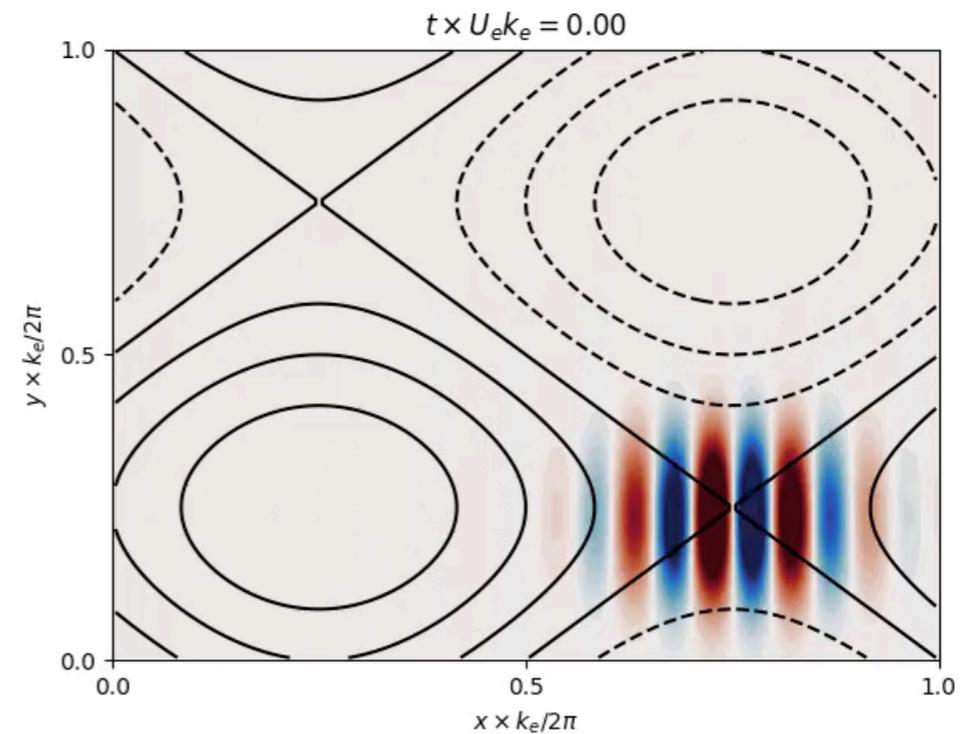
Q: Why is SLoB slow?

A: Wave escape.

Passive Scalar



NIWs



$$\theta_t + J(\psi, \theta) = \kappa \Delta \theta$$

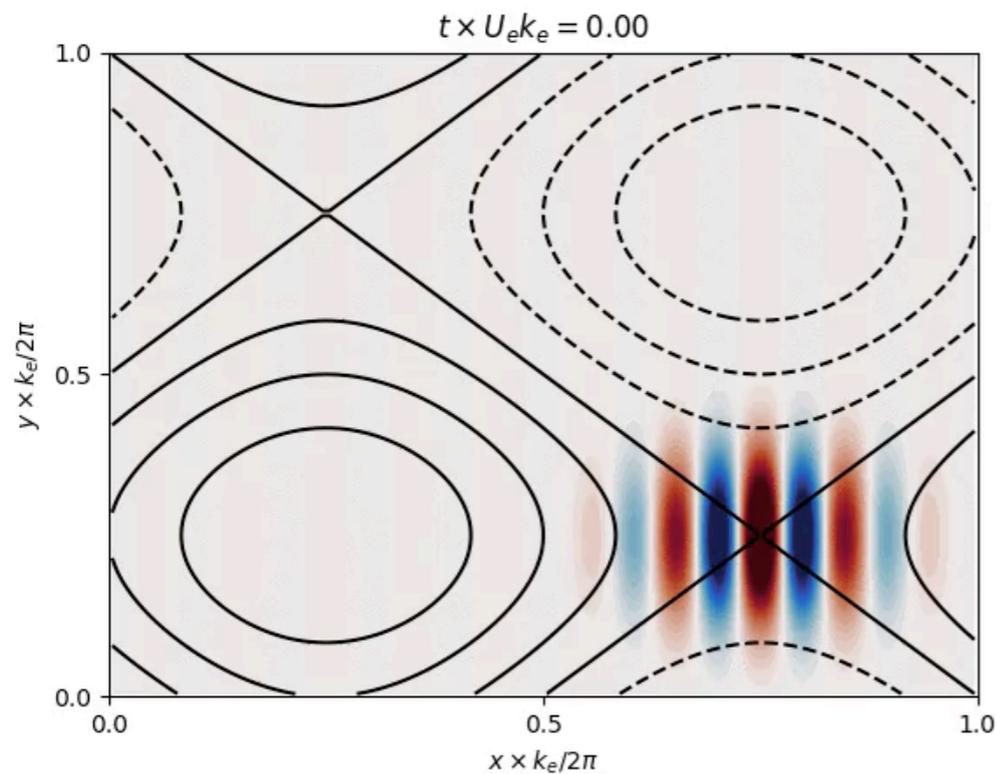
$$\phi_t + J(\psi, \phi) + \frac{i\Delta\psi}{2}\phi = \frac{i\eta}{2}\Delta\phi = 0$$

If $\nabla\phi$ increases, then so does the group velocity and thus waves accelerate out of straining regions.

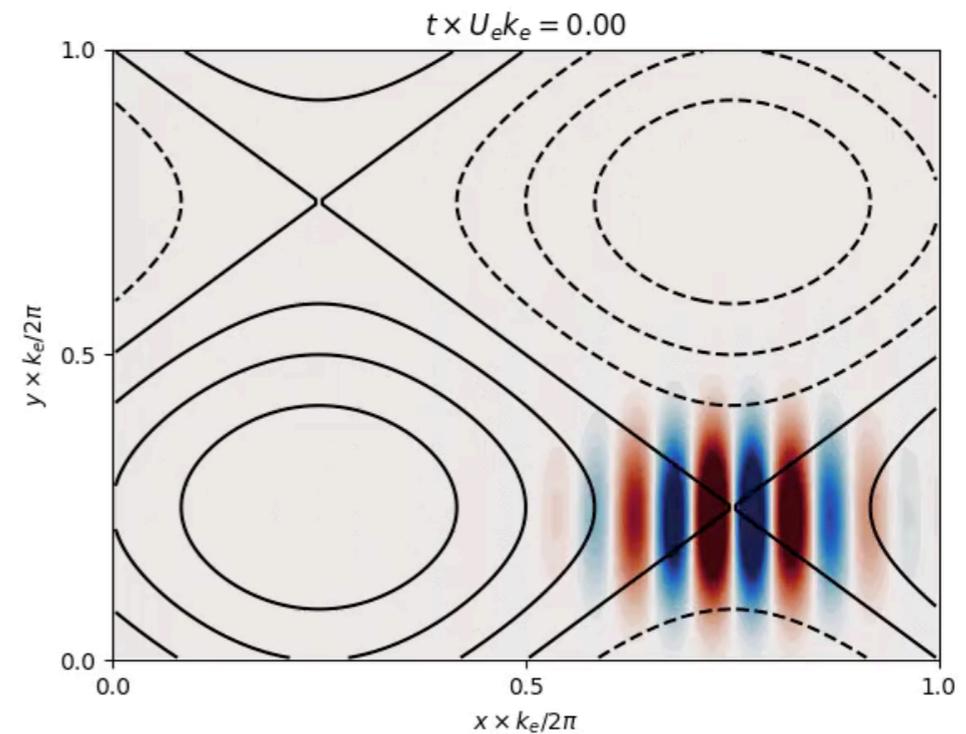
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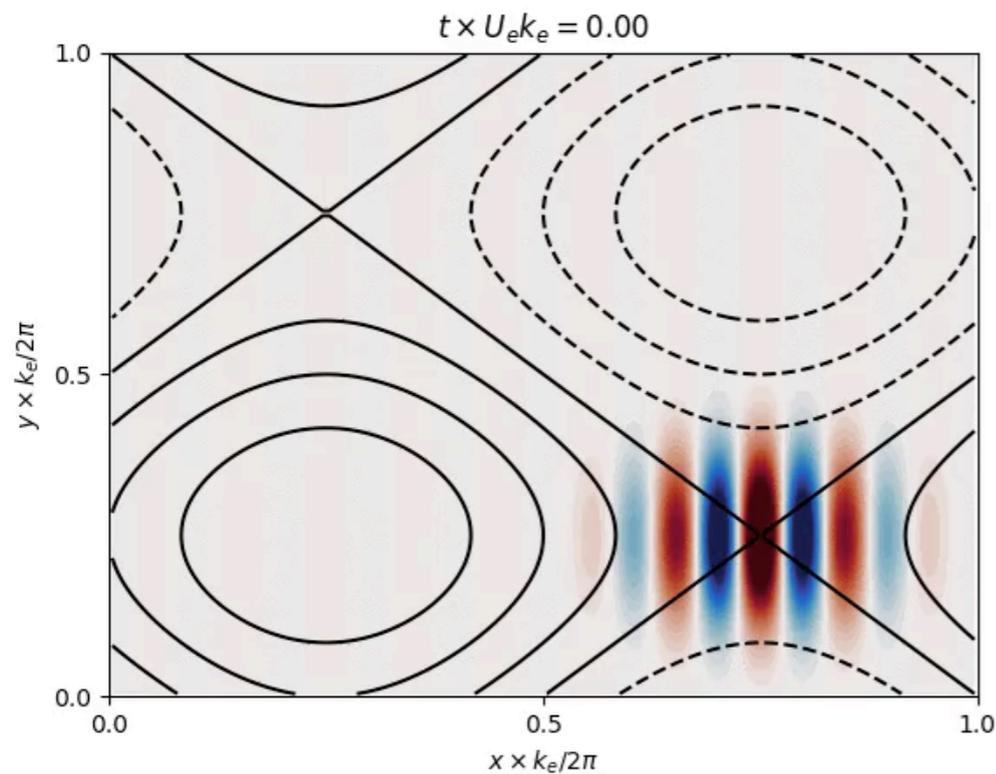
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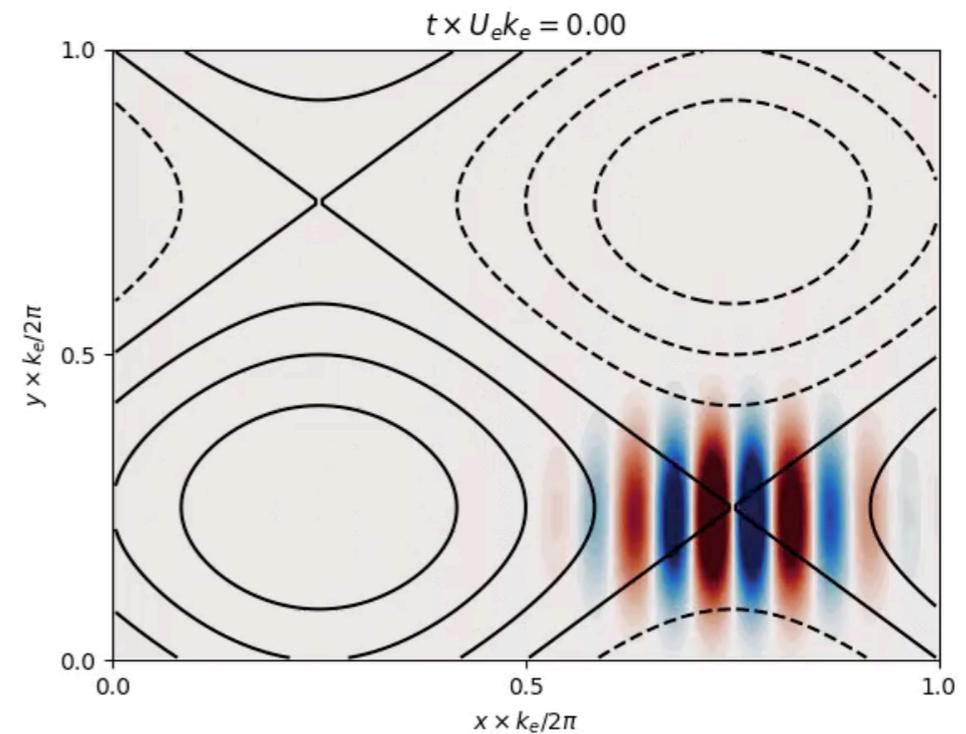
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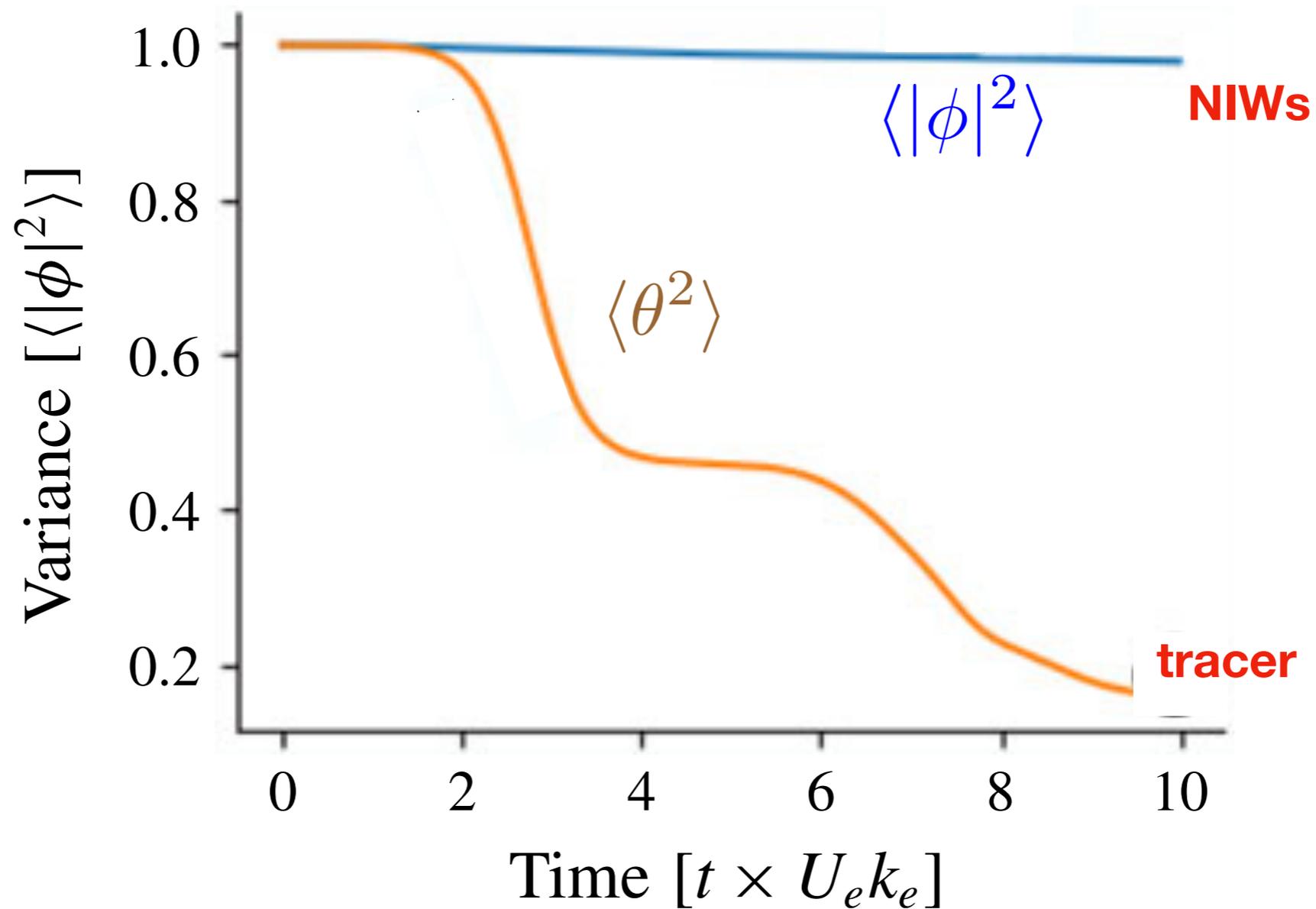
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versus

$$\phi_t + J(\psi, \phi) + \frac{i\Delta\psi}{2}\phi = \frac{i\eta}{2}\Delta\phi$$



$$\text{NIW KE} = \frac{1}{2} \langle |\phi|^2 \rangle$$

$$\text{NIW PE} = \frac{1}{4} \lambda^2 \langle |\nabla \phi|^2 \rangle$$

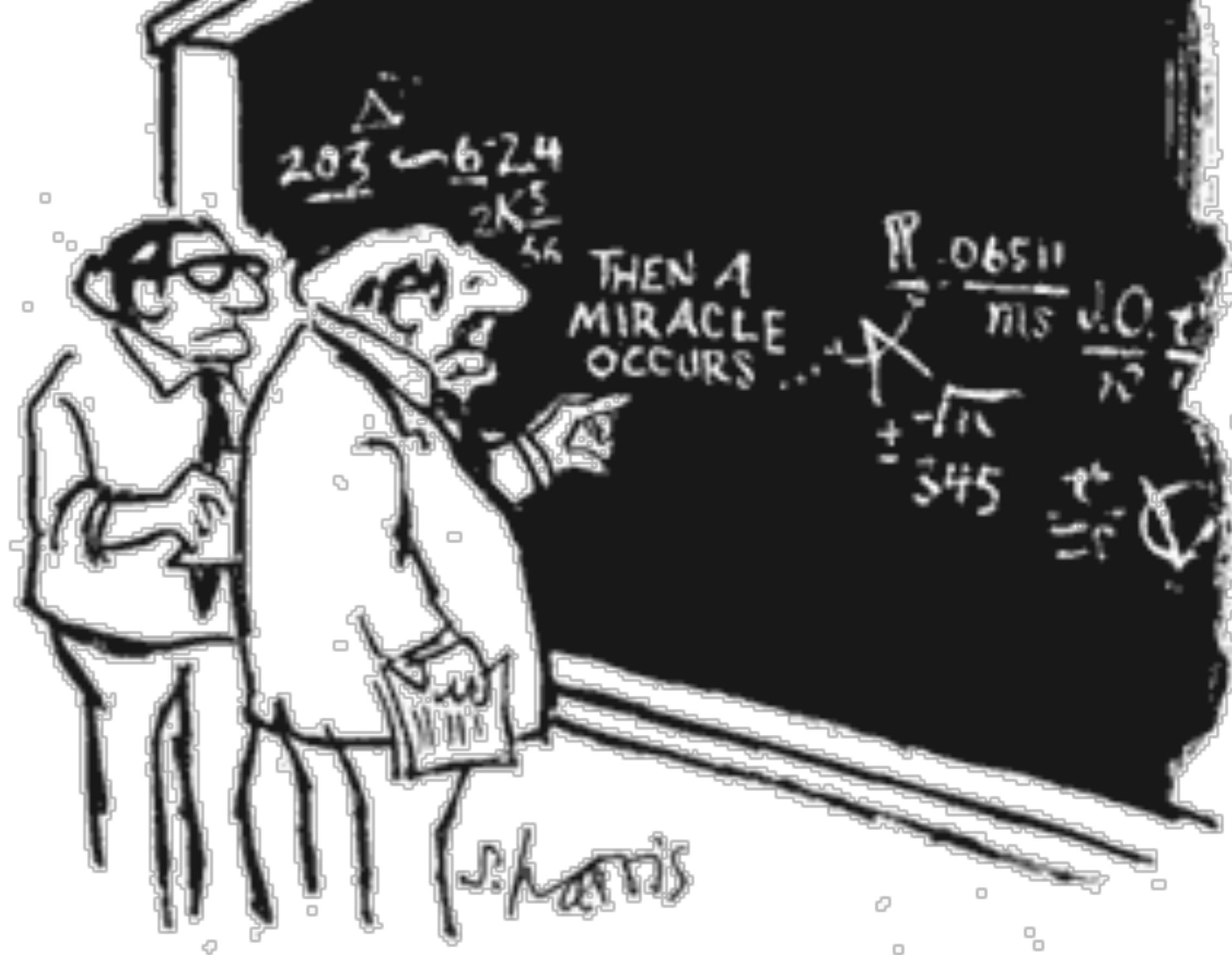
SLoB is slow.

$\nabla\phi$ does not increase exponentially with time.

NIWs resist straining by the eddy velocity.

This is wave escape powered by dispersion.

The energetics of **SLoB** is confusing e.g., Reynolds stresses produce **NIW PE**.



"I THINK YOU SHOULD BE MORE EXPLICIT HERE IN STEP TWO."



THE END